

## **Contents of this presentation**

#### Interferometry

- Comparing physical & optical lengths
- Interference basic theory
- Simple interferometers
- Narrow beam interferometers
- Use of polarisation techniques
- Fringe counting & its limitations
- Wide field interferometers
- Other interferometers for traceability (angle, straightness)
- Interferometry traceability additional requirements

#### Fundamental principles

- Abbe principle
- Mechanical stability
- Thermal stability
- Alignment
- Probe/surface interaction
- Error separation techniques
- Error mapping

#### Applications

## How to go from the metre definition to dimensional measurements?

- How to make practical length measurements based on the *Mise en Pratique* of the metre definition?
- Every dimensional traceability chain contains measurements by interferometry
- Except:

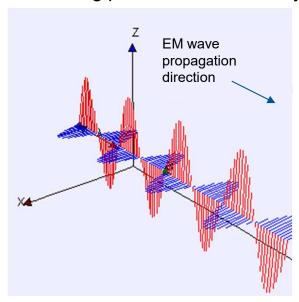
Measurements based on method 1 of the previous MeP

- GPS/GNSS
- electronic distance measurements (e.g. hand-held EDMs)

## **Interference of light waves**

#### From EM theory, superposition of two waves

of the same frequency (homodyne) or very similar (heterodyne) usually from the same source (wavefront splitting or amplitude splitting) resulting pattern determined by phase difference



E is the electric field B is the magnetic field  $\lambda$  is the wavelength *f* is the frequency  $\omega$  is the angular frequency  $\phi$  is the phase

$$\omega = \frac{2\pi}{\text{period}} = 2\pi f$$

#### Interference of two beams

Two EM waves:

$$E_1 e^{i(\varphi_1 - \omega t)}$$
 and  $E_2 e^{i(\varphi_2 - \omega t)}$ 

Superposing the beams at a location in space

$$E_T = E_1 e^{i(\varphi_1 - \omega t)} + E_2 e^{i(\varphi_2 - \omega t)}$$

We can measure the intensity of the resulting field rather than the field magnitudes,  $I \propto \langle E_T^* E_T \rangle$ 

$$I(x,y) = E_1^2 + E_2^2 + 2E_1E_2\cos(\varphi_1 - \varphi_2)$$

Or, in terms of intensities

$$I(x,y) = I_1 + I_2 + 2\sqrt{I_1I_2}\cos(\varphi_1 - \varphi_2)$$

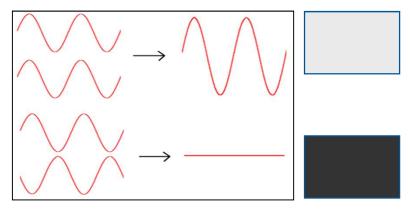
Simplified

$$I(x,y) = a + b\cos(\Delta\varphi)$$

 $\Delta \varphi = 2n\pi$  constructive interference (bright image)

 $\Delta \varphi = (2n+1)\pi$  destructive interference (dark image)

where  $n = \{0, 1, 2, ...\}$ 



Observed intensity varies as  $cos(\Delta \varphi)$ 

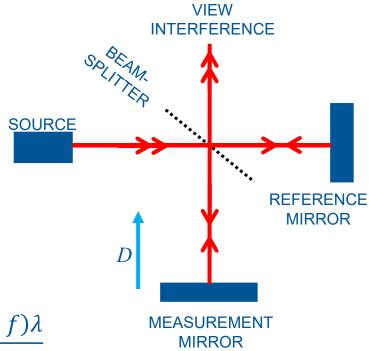
Periodic in  $\Delta \varphi = n2\pi$ 

Phase advance of  $2\pi$  during path length of  $\lambda$ , so ...

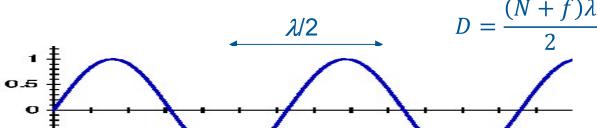
Observed intensity is periodic over path length difference of  $\lambda$  Interferometry measures distances in units of wavelength

## Simple interferometer

- Narrow beam (unexpanded laser)
- Amplitude splitting
- Measurement and reference arms
- Co-sinusoidal intensity variation at detector as one mirror moved w.r.t. other
- Double pass doubles the sensitivity,  $\Delta \varphi = 2n\pi$ ,  $\Delta \varphi \propto 2D$  so every  $\lambda/2 = fringe$





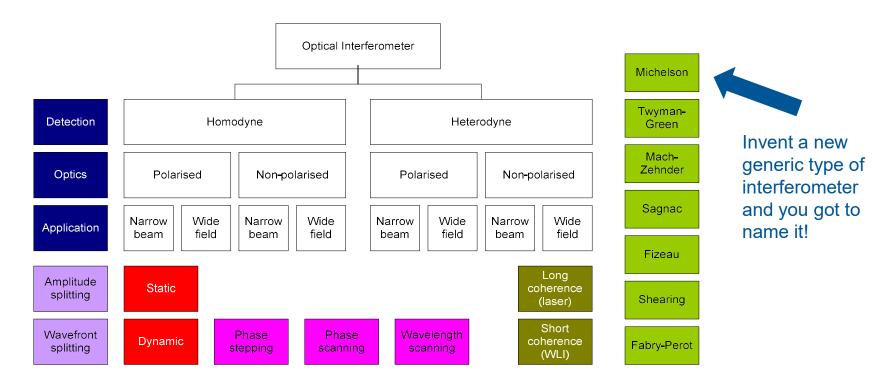


## **Interferometry basics**

#### Summary of main concepts

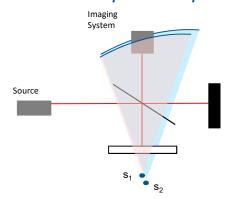
- Interference intensity shows phase difference  $\Delta \varphi$
- $I(x,y) = a + b \cos(\Delta \varphi)$ cosine variation with phase, with 2 extremes: dark = destructive (out of phase) light = constructive (in phase)
- Interference fringes spaced at  $\lambda/2$
- $D = \frac{(N+f)\lambda}{2}$
- Knowing  $\lambda$ , counting or guessing N, measuring f, gives D

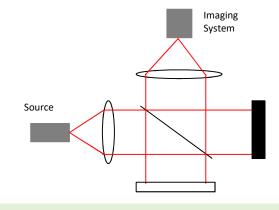
## Interferometer types



# **Optical layouts**

#### Common amplitude splitting interferometers





# source Imaging System reference flat

#### Michelson

Most common interferometer

Un-collimated beams

Fringes of different shape depending on path difference and mirror tilt (circles, conic sections, straight)

Fringes localised at mirrors or infinity when using extended source

#### Twyman-Green

Collimated (expanded) Michelson

Straight fringes

Double path - double sensitivity

Often used in surface metrology

Long paths require good coherence length (temporal coherence) such as lasers or stabilised lasers

#### **Fizeau**

Collimated (expanded) Michelson

Can have long common path

Short uncommon path

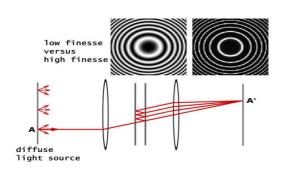
Double pass – double sensitivity

Often used in surface metrology

Works well with sources of lower coherence length e.g. discharge lamps, broader band sources (white light)

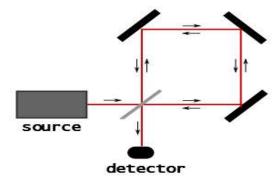
### **Optical layouts**

#### Common amplitude splitting interferometers



#### **Fabry Perot**

Multiple reflections Highly sensitive to thickness of 'cavity' Can control finesse by changing reflectivity - higher R gives higher finesse (or 'Q')



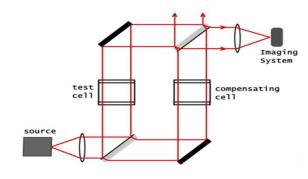
#### Sagnac

Counter-propagating beams Common path

Rotation sensing (angular velocity)

Based on invariant value of c in all frames (special relativity)

Also can be formed from an optical fibre ring to make fibre-optic gyroscope



#### Mach Zehnder

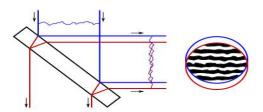
Separated path Fizeau/Twyman-Green Single pass, balanced reference arm (c.f. test arm)

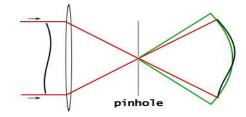
Good for 2D imaging of refraction and other phase-effects

Used in holography and many quantum experiments

## **Optical layouts**

#### Other common interferometers





# white light source **CCD** Camera beam splitter objective mirror spotbeam splitter focal plane --

#### Lateral shearing

Simple singular optic – self fringing Sensitive to collimation

Used for setting focal length in collimators (fringe rotate with change in de-collimation) Usually uses wedged plate

#### Point diffraction

Common path interferometer – generates own reference beam

Focused to give Airy disc at pinhole in semi-transparent filter

Zeroth order beam transmitted

Interferes with reduced intensity transmitted beam from entire wavefront

Good in vibrational environments

#### White light

Broadband light source

Very short coherence

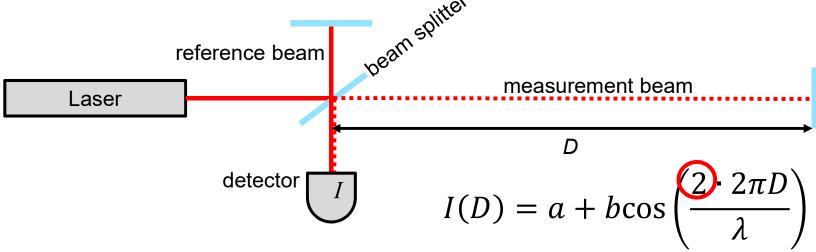
Fringes only at (near) equal path lengths (reference arm = test arm)

Can use to locate a surface's position Central fringe dark or light depending on number of phase changes on reflection

https://en.wikipedia.org/wiki/Interferometry

## Homodyne displacement interferometer

Single fringe resolution, ~300 nm

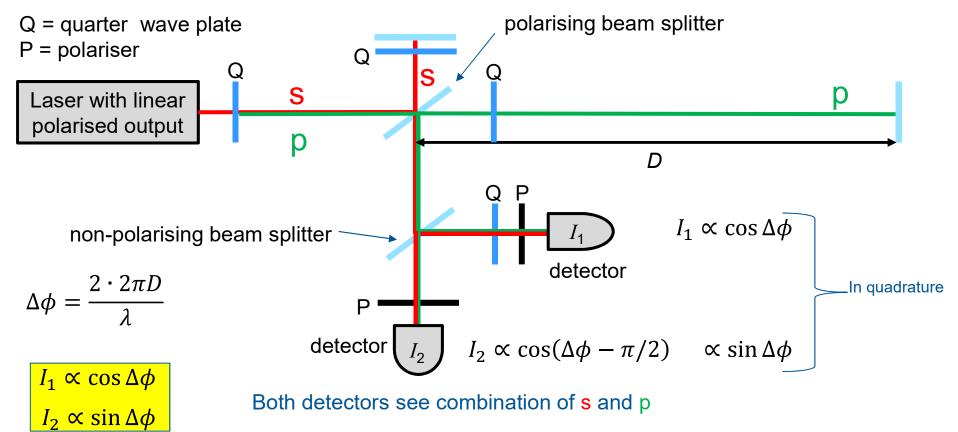


Interference fringes spaced  $\lambda/2$  apart = 633 nm/2 = 317 nm Difficult to interpolate to sub-fringe resolution:

- Instantaneous intensity vs. drift in a and/or b as laser power changes
- Stray light, etc.
- Cannot determine direction

# **Polarised** homodyne interferometer

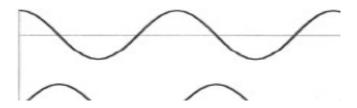
Sub-fringe interpolation

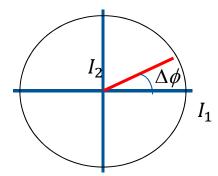


## **Quadrature counting (homodyne)**

Sub-fringe phase interpolation

$$I_1 \propto \cos \Delta \phi$$
  
 $I_2 \propto \sin \Delta \phi$ 



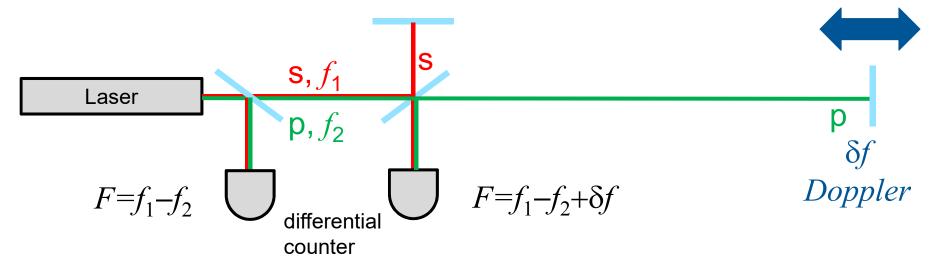


$$\Delta \phi = \tan^{-1} \left( \frac{I_2}{I_1} \right)$$

- Instantaneous resolving of <u>sub-fringe</u> phase
- <u>Direction</u> available from fringe crossing order & phase
- Fringe crossing can trigger integer counts
- Multi-fringe, fringe-interpolating displacement
- But... subject to non-linearities, phase quadrature errors requiring correction ('Heydemann')

### **Heterodyne interferometers**

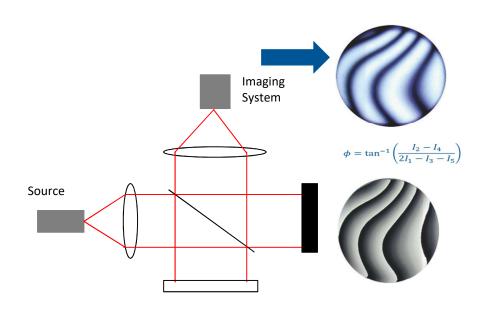
Beams with differing wavelengths



s and p polarisations have different optical frequencies,  $f_1$ ,  $f_2$  Differential counter: 0 if stationary, + or – if mirror moving Output of differential counter gives velocity (with direction) Integration over time gives displacement in wavelength units

#### Wide field interferometers

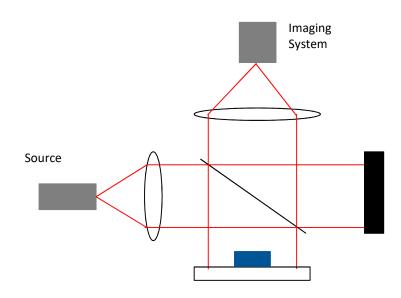
#### Optical testing



- Fringes are height contours spaced  $\lambda/2$
- Static evaluation tricky
- Phase-stepping/scanning achieved by varying one optical path, e.g. PZT pushing the reference mirror
- Many algorithms to recover phase, but all give phase modulo  $2\pi$
- Have to cope with  $2\pi$  discontinuity at fringe boundaries – add or subtract 1 fringe  $(2\pi)$
- Cannot cope (easily) with step changes at the surface
- Range limited by camera resolution (at least 2 pixels/fringe)

# Coping with steps > $\lambda/2$

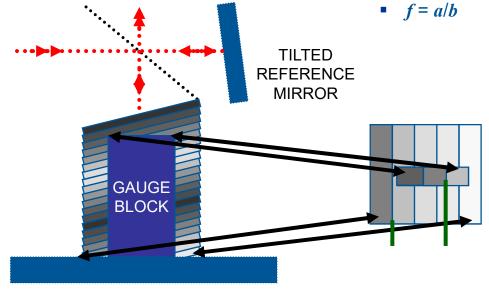
Multiple wavelength interferometry for step heights

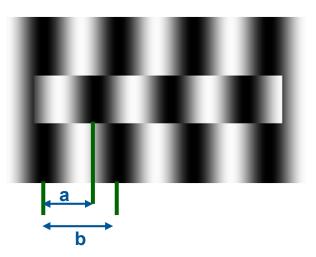


- Surface with a step height *e.g.* 10 mm
- *e.g.* gauge block attached to a flat surface
- Fringe discontinuity >>  $2\pi$
- How many fringes  $(2\pi)$  to add/subtract?

#### Measure fringe fractions at two wavelengths

- Tilt the reference mirror to obtain more fringes
- Illuminate with one wavelength and grab image (or phase step)
- Illuminate with second wavelength (different colour) and grab image (or phase step)
- Look at fringe fractions, *f*, for both cases

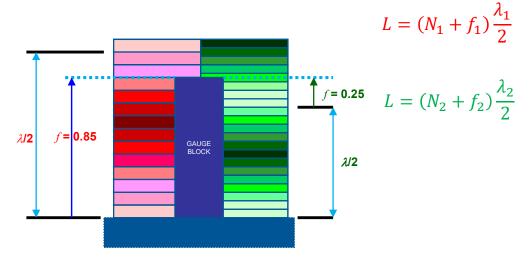




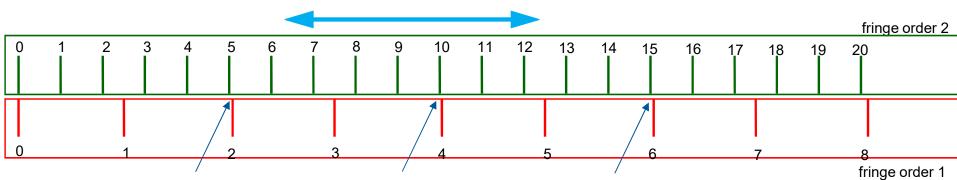
Dimensional metrology in practice | A Lewis | Varenna Summer School 2019 | 18

#### Conceptual view of measurement

#### Wavelength 1 Wavelength 2

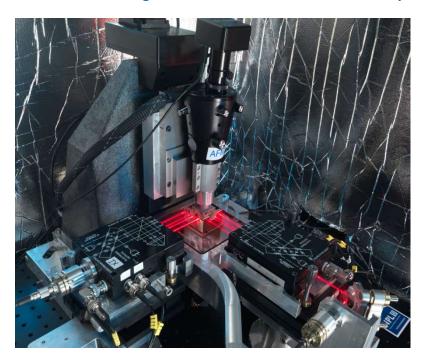


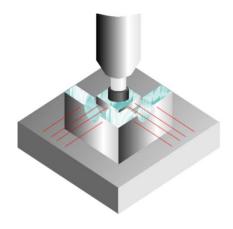
- Know  $\lambda_1$  and  $\lambda_2$
- Measure  $f_1$  and  $f_2$
- Have an estimate for L
  - From L, estimate likely range of  $N_1$  and from that estimate the corresponding range of  $N_2$
  - Look for solutions for L that match within the likely range
  - Ensure range  $<\frac{1}{2}\frac{\lambda_1\lambda_2}{\lambda_1-\lambda_2}$  for unique solution



## **Traceable nanometrology**

The metrological atomic force microscope





Mirror fixed to PZT tube

Mirror on stage that holds sample

Both are 3 sided orthogonal mirrors

Optical interferometers measure the relative displacement of the AFM tip and the sample in x, y and z axes.

Turn off and not use the scanning PZT as it is highly non-linear

## **Interferometry** in air

Refraction and refractive index

#### Refractive index (n)

Issue when operating in air (not vacuum)

n = 1.000 2XX XXX

Dispersive

Reduces speed of light & wavelength

Uncompensated → wrong distances

$$\lambda = c/f$$
  $c = c_{vac}/n$   $\lambda = \lambda_{vac}/n$ 

#### Refraction

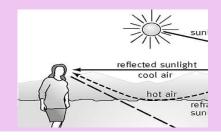
Occurs at change in refractive index or in a refractive index gradient e.g. Earth air pressure & temperature gradient

Dispersive

Bends light beams

Uncompensated → wrong direction





## Refractive index details

• See lecture 1 for more information

# Fundamental principles & techniques of dimensional metrology

- Abbe principle
- Mechanical stability
- Thermal stability
- Alignment
- Probe/surface interaction
- Error separation techniques
- Error mapping

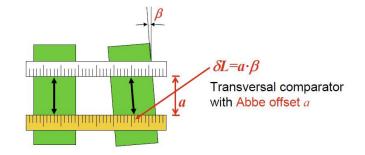
# Fundamental principles & techniques of dimensional metrology

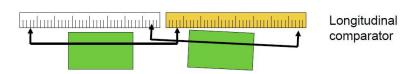
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## Abbe principle

- Measurement scale is not in line with the object being measured – Abbe offset, x
- Straightness of axis or sloppy fit of calliper causes angle between jaws,  $\theta$
- Length measurement error  $\delta L \approx \theta x$
- Eliminate by aligning scale coaxially with part, or compensate my measuring x and  $\theta$







Minimise Abbe offset where possible or compensate

# Fundamental principles & techniques of dimensional metrology

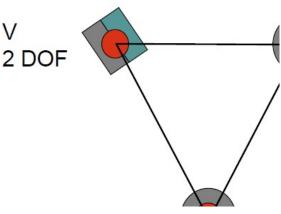
- Abbe principle
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## **Mechanical stability**

#### Kinematic mounting

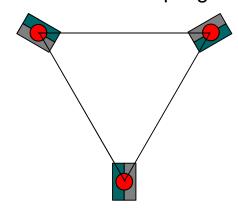
- Assume: nothing is perfectly flat, contact is at microscopic level, possible motion gives errors
- Ideally, 6 constraint contact: 3 position, 3 rotation
- Concept of kinematic mounting

#### Kelvin coupling



#### Guaranteed centre of rotation (cone)

#### Maxwell coupling

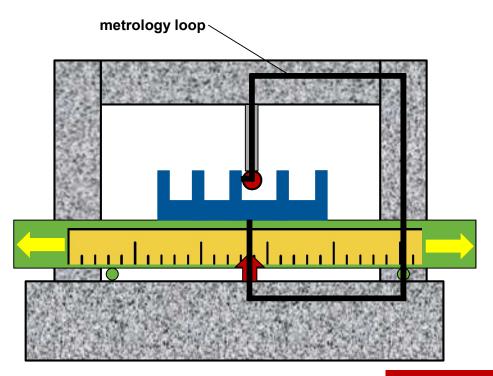


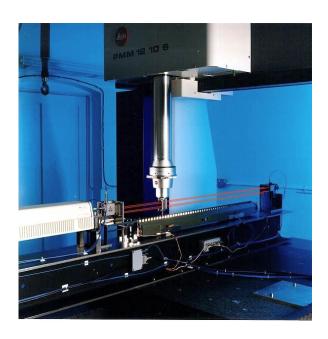
Thermally stable centre point

Utilise correct kinematic mounting

# **Mechanical stability**

Stiffness, high resonance frequency, stable metrology loop

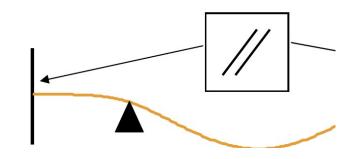




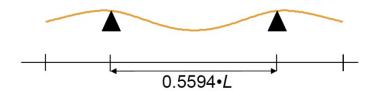
The metrology loop must be stable or measured

## **Mechanical stability**

Gravitational bending



desire parallel end faces e.g. gauge blocks
Airy points



desire minimum total length change *e.g.* line scales **Bessel points** 

Correct support needed for artefact and parts of instrument

# Fundamental principles & techniques of dimensional metrology

- Abbe principle
- Mechanical stability
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- Error mapping

### **Thermal expansion**

Gravitational bending

#### Thermal expansion coefficients, $\alpha$ , (standard values)

Steel	$11.5  imes 10^{-6} \ { m K}^{-1}$	Quartz	$0.5 \times 10^{-6} \text{ K}^{-1}$
Aluminium	$25 \times 10^{-6} \text{ K}^{-1}$	Zerodur	$0.03 \times 10^{-6} \text{ K}^{-1}$
Tungsten carbide	$4.3 \times 10^{-6} \text{ K}^{-1}$	ceramic	$5.5 \times 10^{-6} \text{ K}^{-1}$

ISO 1 – 'reference temperature for dimensional metrology is 20 °C'

Correct measurement result to 20 ° C using  $L_{20} = L_{\rm T}[1 + \alpha \cdot (20 \, ^{\circ}{\rm C} - T)]$ 

But,  $u(\alpha)/\alpha \approx 10 \% !$ 

Unless you measure  $\alpha$  and know the object temperature very well... Measure as close as possible to reference temperature 20 °C Or use 'tricks'

The most expensive equation in dimensional metrology

## **Thermal expansion**

Match (CTE  $\times$  *L*) for thermal stability

'Tricks' to minimise problems

- Thermally controlled environment (ideally just the volume local to the instrument)
- Compact and thermally compensated instruments (metrology loops)
- Fast measurements(low drift)
- Low and constant power consumption, reduce operator's influence
- Use of low expansion materials: Invar, Super-Invar, Zerodur, Carbon fibre reinforced epoxy...
- Use comparison/substitution methods between objects of similar materials



#### Two gauge blocks:

- Same material
- Same length
- Same (~) temperature





Images: Various (NPL)

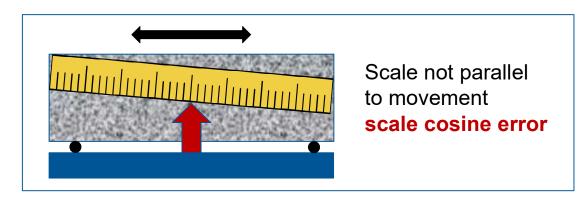
# Fundamental principles & techniques of dimensional metrology

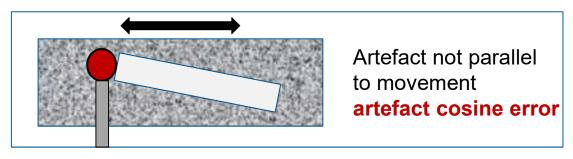
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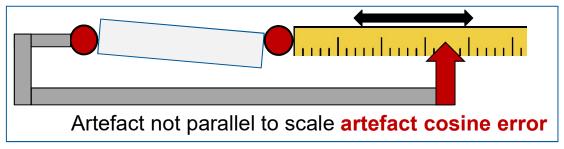
### **Alignment**

Avoiding excessive cosine errors

- Cosine error  $\delta L = L(1 \cos \alpha) \approx \frac{1}{2}\alpha^2 L$
- Messing up the alignment is obvious on macro-scale!
- Rule of thumb:
- 1 mm in 1 m is 0.5 ppm error in *L*
- Precision dimensional metrology often demands  $u(L)/L < 5 \times 10^{-9}$  and this requires < 10 µm misalignment over 1 m







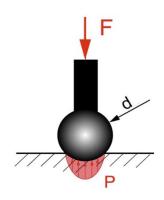
'Design for align'

# Fundamental principles & techniques of dimensional metrology

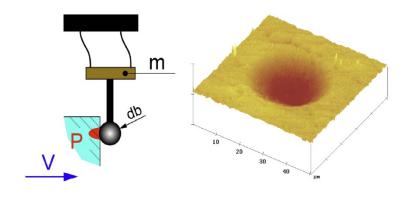
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#### **Probe-surface interaction**

Common to all contact-based measuring systems



d l  $\downarrow x$   $\downarrow$ 



Hertzian compression, a

$$a = \frac{1}{2} \left( \frac{3}{F} \left( \frac{1 - v_1^2}{E_1} + \frac{1 - v_2^2}{E_2} \right) \right)^{2/3} \left( \frac{1}{d} \right)^{1/3}$$

 $E_1$ ,  $E_2$  are elastic moduli  $v_1$ ,  $v_2$  are Poisson ratios

Stylus bending:

- rotation
- displacement

Dynamic forces
Impact on surface approach
Plastic deformation

Use small forces, small masses, low speed Elastic compression correction by calculation or extrapolate to zero force from several forces

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CJ Evans, RJ Hocken, WT Estler, "Self-calibration: Reversal, redundancy, error separation, and absolute testing" Annals of CIRP 45(2) 1996

DOI: 10.1016/S0007-8506(07)60515-0

# **Primary measurement methods**

### Separation of errors from form elements

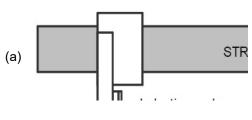
- Classical form elements are intrinsically defined:
  - straight line
  - plane
  - circle
  - cylinder
  - sphere
  - angles
- Primary measurement methods are based on error separation
  - separate the machine form error from the test object
  - several overall techniques:
    - reversal
    - multi-step
    - multi-artefact
    - circle closure
- (Freeform measurements are thus more difficult)

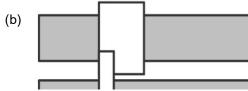
# **Error separation by reversal**

Reversal can remove machine form errors

### Invert the probing direction and the part

### Straightness





### measured profiles

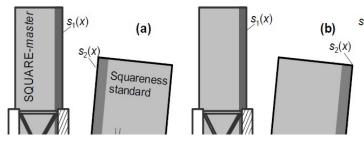
$$p_{a}(x) = s_{2}(x) - s_{1}(x)$$

$$p_{b}(x) = s_{2}(x) + s_{1}(x)$$

#### straightness deviations

DUT: 
$$s_1(x) = \frac{1}{2}[p_b(x) - p_b(x)]$$
  
Instrument:  $s_2(x) = \frac{1}{2}[p_b(x) + p_b(x)]$ 

Squareness



 $\alpha_1$ ,  $\alpha_2$  are squareness of machine and part

#### measured profiles

$$p_{a}(z) = s_{2}(z) - s_{1}(z) + (\alpha_{1} + \alpha_{2} - \alpha_{3}) \cdot z$$

$$p_{b}(z) = s_{2}(z) + s_{1}(z) + (\alpha_{2} - \alpha_{3} + \alpha_{1}) \cdot z$$

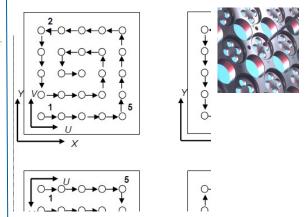
$$p_{c}(z) = s_{2}(z) + s_{1}(z) + (\alpha_{2} + \alpha_{3} + \alpha_{1}) \cdot z$$

$$s_1(z) = \alpha_1 \cdot z = \frac{1}{2} [p_c(z) - p_a(z)]$$
  

$$s_2(z) = \alpha_2 \cdot z = \frac{1}{2} [p_b(z) + p_a(z)]$$
  

$$\alpha_3 \cdot z = \frac{1}{2} [p_c(z) - p_b(z)]$$

### CMM ballplate 4-fold reversal



#### Four-fold rotation is equivalent to:

- two line reversals (x y axes)
- two squareness reversals

#### Determines:

- position errors of balls
- CMM axes squareness
- CMM axes straightness

Repeat for other CMM axes

Images: Thalmann (METAS)

#### Machine spindle

# **Error separation**

### Multi-step roundness

Machine spindle accuracy 20 nm - 30 nm Roundness standard accuracy ~ 40 nm Roundness profile is combination of both errors

### Multi-step

Measure at initial alignment (rotate spindle) Repeat (N = 1, ... 5 or higher)

Repeat:

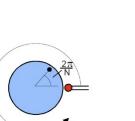
Rotate indexing stage by  $2\pi/N$ Measure again (rotate spindle)

Until alignment is back at start

Until N is high enough

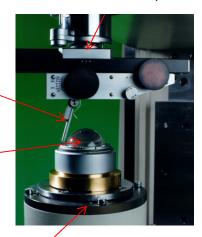
Separate out errors (except unresolved)

For N = 24, still 4 % of harmonic errors remain

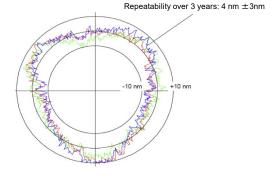


Probe on spindle

Hemispherical Roundness standard



Rotary indexing stage

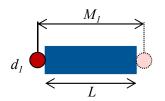


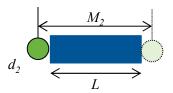
Multi-step good but slow. Use pseudo-random steps, Fourier series of signal and in frequency domain to speed up technique

# **Error separation**

### More reversals!

Probe ball reversal



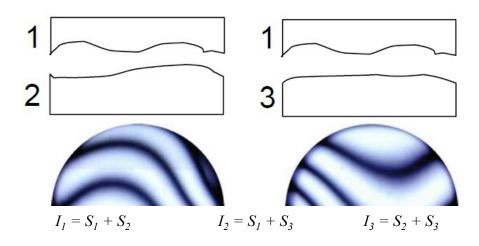




$$M_1 = d_1 + L$$
 $M_2 = d_2 + L$ 
 $M_3 = d_1 + d_2$ 
 $d_1 = [M_1 - M_2 + M_3]/2$ 

Absolute flatness achievable but slow
Can use liquid surface as pseudo perfect flat

Three flat test (in a Fizeau interferometer)



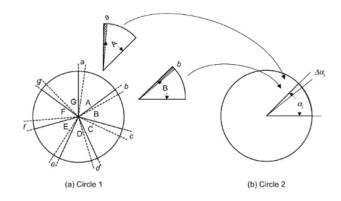
Insufficient information for 2-D problem over both  $I_{\mathbf{k}}(x,y)$  and  $S_{\mathbf{k}}(x,y)$  But there is one line of reversal across all three interferograms (x –axis) Use this line to transfer known profile to full surface

$$S_1(x) = [I_1(x) + I_2(x) - I_3(x)]/2$$

### **Error separation**

### Full closure on circle for angle calibrations

• Based on principle that all angles in a circle (or polygon) sum to  $2\pi$  (360°)



7 sided polygon (or circle)

Angle intervals: A, B, C, D, E, F, G each with small error: a, b, c, d, e, f, g

Sum of all angles must be 360°, hence sum of all errors must be zero Compare all intervals with a second circle interval of size  $\alpha_1$  which has small error  $\Delta\alpha_1$  to obtain seven differences  $x_1, x_2, ..., x_7$ 

$$\begin{split} &\Delta\alpha_{\mathbf{i}} - a = x_1 & \Delta\alpha_{\mathbf{i}} - b = x_2 & \Delta\alpha_{\mathbf{i}} - c = x_3 & \Delta\alpha_{\mathbf{i}} - d = x_4 \\ &\Delta\alpha_{\mathbf{i}} - e = x_5 & \Delta\alpha_{\mathbf{i}} - f = x_6 & \Delta\alpha_{\mathbf{i}} - g = x_7 \end{split}$$
 
$$a + b + c + d + e + f + g = 0$$

$$7\Delta\alpha_{i}$$
 -  $(a+b+c+d+e+f+g) = x_1 + x_2 + ... + x_7$ 

$$\Delta \alpha_{i} = [x_{1} + x_{2} + ... + x_{7}]/7$$
 and  $a = \Delta \alpha_{i} - x_{1}$  etc.

Methods exist using: multiple autocollimators and rotary table single autocollimator and indexing table with rotary table

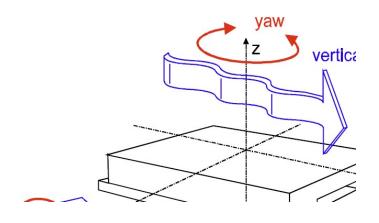
Precision autocollimators have limited range so may cause problems with indexing tables

# Fundamental principles & techniques of dimensional metrology

- Abbe principle
- Mechanical stability
- Thermal stability
- Alignment
- Probe/surface interaction
- Error separation techniques
- Error mapping

## **Error mapping**

A priori error separation (using external tool)



Error map of Coordinate Measuring Machine

For each of 3 axes:

3 translational errors

Txx = Px position error

Txy, Tx,z: straigntness error

3 rotation errors:

Rxx: roll Rxy: pitch Ryz: yaw

3 angles between axes

21 error files for map of motion errors

### Techniques for error mapping:

- Multiple ball-plate reversals
- Laser interferometer with additional optics (angle, straightness)
- Laser tracer measuring distance as probe makes traversals
- Traditional artefacts (squares, straight edges, *etc.*)

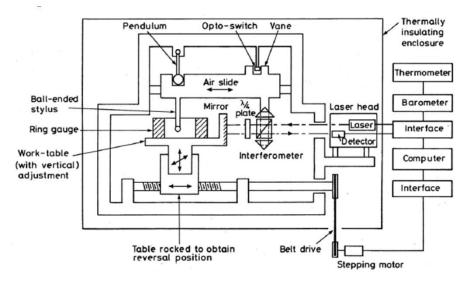
Error mapping useful only if machine is stiff and has repeatable and reproducible errors

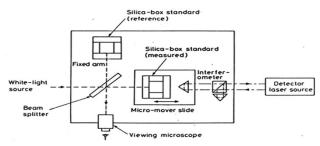
# **Examples of dimensional measuring instruments**

- Internal diameter measuring machine
- Length bar interferometric comparator
- Step gauge machine

# Internal diameter measuring machine

### Measure internal diameter of ring gauges









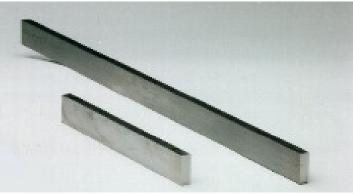


Images: Flack (NPL)

# **Secondary length bar interferometer**

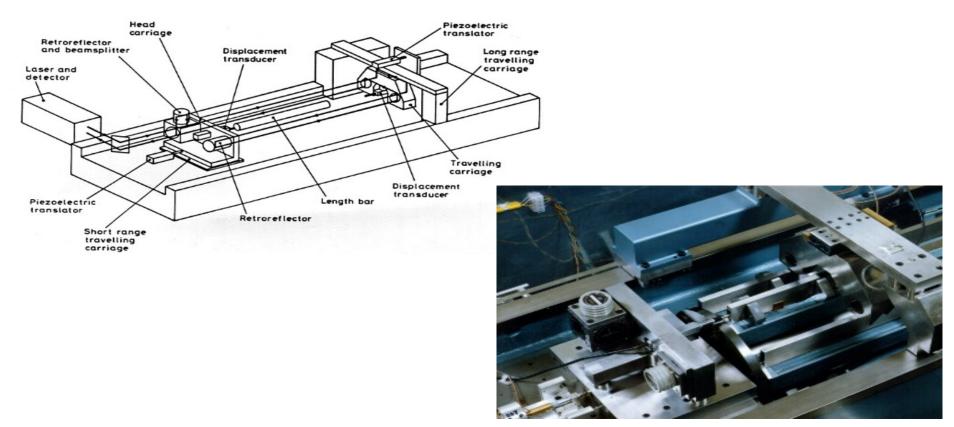
# Measurement of end standards



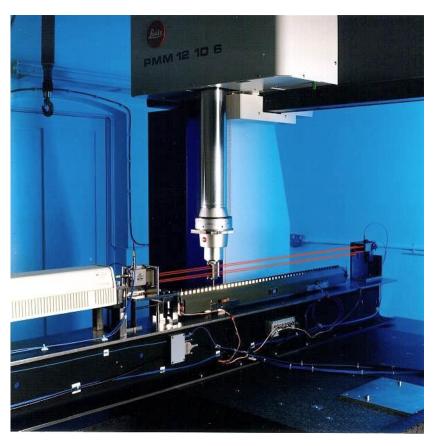


# Length bar interferometric comparator

Compares bars using a laser for scale



### **Step gauge machine**



Probe must move in/out of gaps – Abbe offset Abbe offset correction:

- measure probe tilt using extra interferometer
- a priori Abbe offset measurement
- compute Abbe error correction

Commercial CMM used as motion device Double pass interferometry Twin interferometers (double ended)both: distance and tilt

· far interferometer is column reference

Refractive index correction

$$U_{95}(L) = 100 \text{ nm} + 3 \times 10^{-9}L$$
  $U_{95}(1 \text{ m}) = 400 \text{ nm}$ 

### **Dimensional metrology outside the NMI**

### Countless real-world applications of dimensional metrology. Notable ones:

**Big Science:** alignment of magnetic sub-systems of the Large Hadron Collider successor to better than 10 μm accuracy every 200 m;

Nanoscience: extending the practical SI length scale to bio applications;

Aerospace: 100 µm uncertainty in full aircraft wing or wing jig measurements and

100 μm accuracy in gas turbine factory over temperature range of 10 °C to 10 °C;

Healthcare/lifescience: ability to perform traceable AFM scanning of e.g. DNA molecules in real time;

**Energy:** metrology of 3D micro-structured electrodes used for electricity storage in batteries for electric vehicles; wind turbine blade mould metrology;

**Environment:** long-term stable sensors at the μm level for engineering strain monitoring in protective structures (dams, bridges);

**Astronomy**: traceable aspheric and freeform metrology of large (50 m) optical telescope mirrors during manufacturing and servicing.



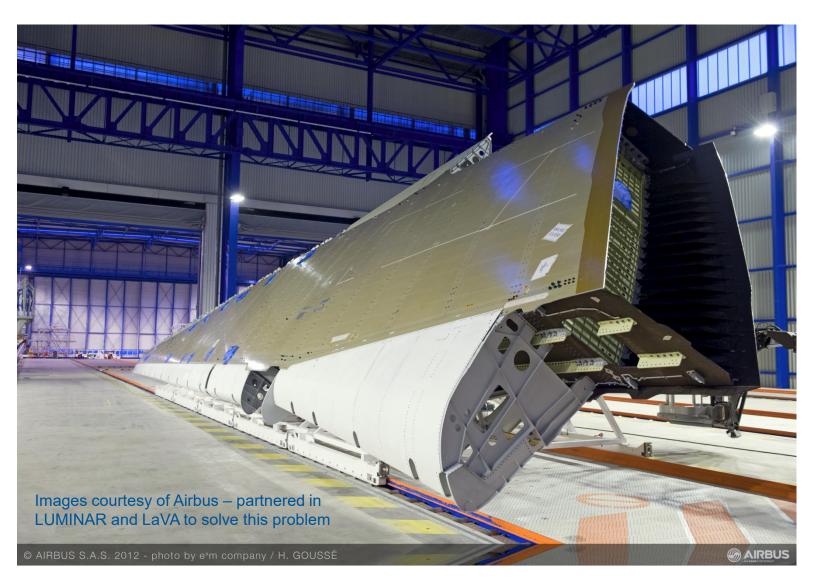
# Airbus A380 wing

Compensation for refractive index and turbulence effects in large factories

1000 kg extra metal in a large aeroplane!

(not just Airbus – industry-wide problem!)





### Airbus A350 XWB wing

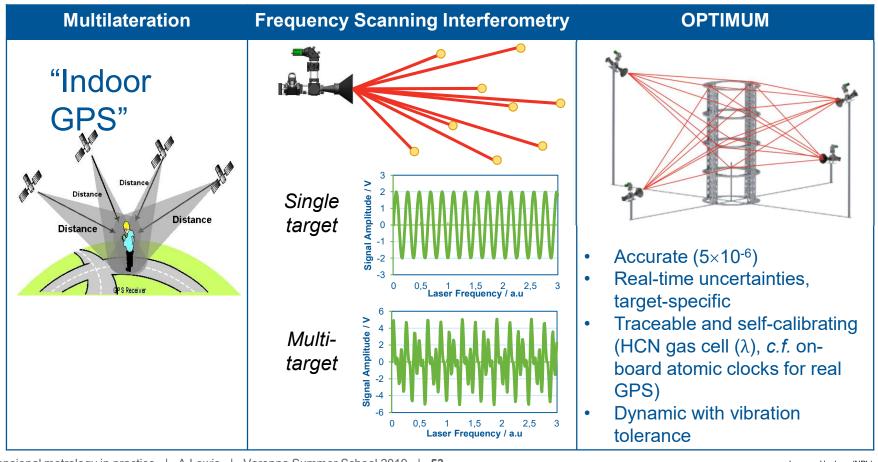
International supply chains require proper SI traceability

Metrology of difficult things in 'poor' environments

Force metrologists to develop new techniques

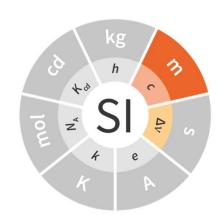


### **NPL OPTIMUM – 3D coordinate measurement system**



### Recap of lecture 2

The metre is defined based on the speed of light, c, and a time interval and is the basis for all dimensional metrology - however it is no longer the limiting factor determining measurement uncertainty.



- Dimensional metrology has to cope with many issues including:
  - Refractive index
  - Alignment
  - Thermal
  - Gravity
  - Probe interactions
  - Dynamic stability
  - Imprecise, non-intrinsic measurands
  - Speed demands
  - Imperfect measuring machines
- Many solutions exist, but newer problems are arriving daily!

