## **Fusion Principles**

Jef ONGENA Plasma Physics Laboratory Royal Military Academy – Brussels

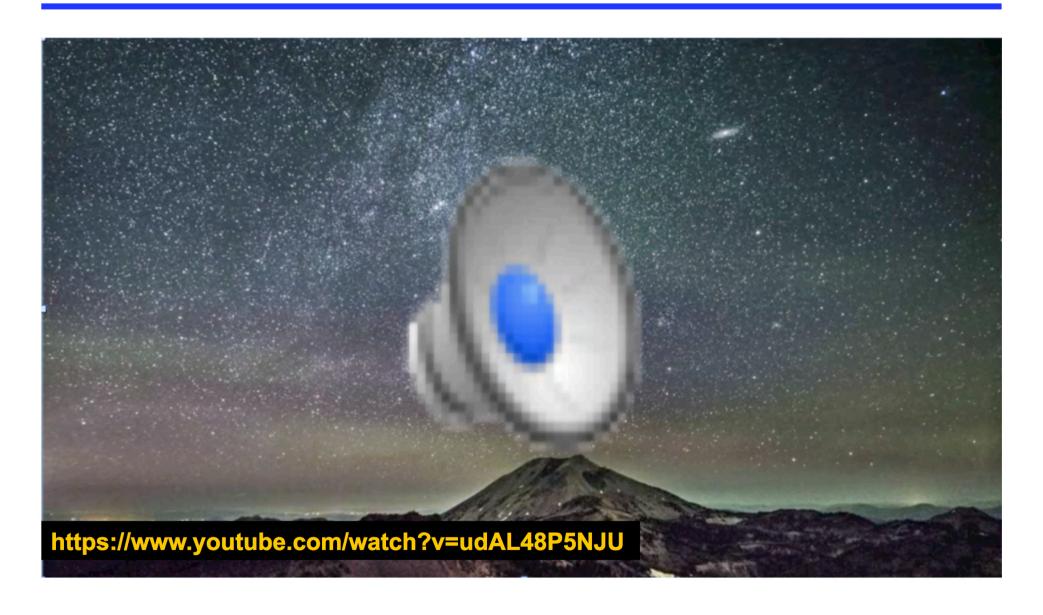


4<sup>th</sup> SIF-EPS International School on Energy Villa Monastero Varenna, Lago di Como 25 July 2017

#### **Outline**

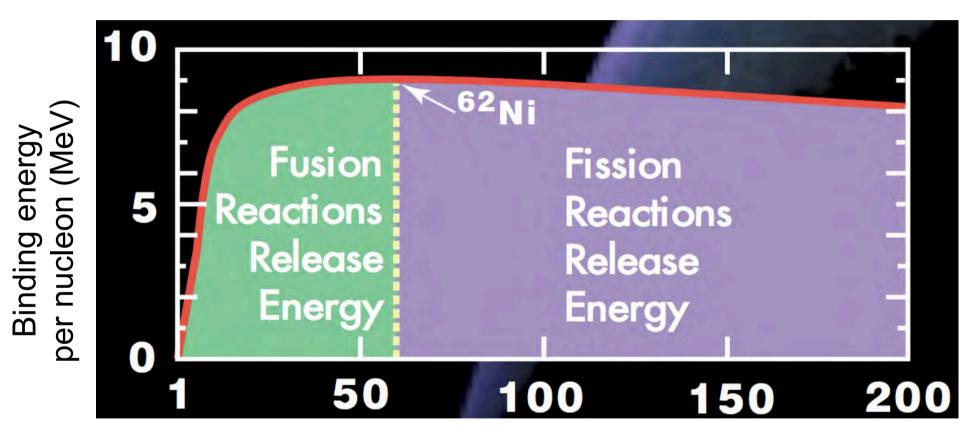
- Fusion reactions
   In the sun
   On earth
- Two possible options to realize fusion on earth Inertial Fusion Magnetic Fusion
- Two possible options to realize magnetic fusion on earth
   Using a plasma current: tokamak
   Avoiding a plasma current: helical devices, stellarator
- Lawson Criterion

## Fusion: energy source of the universe



#### **Energy gain in fusion reactions**

# Results from the difference in binding energy between light nuclei and fusion products

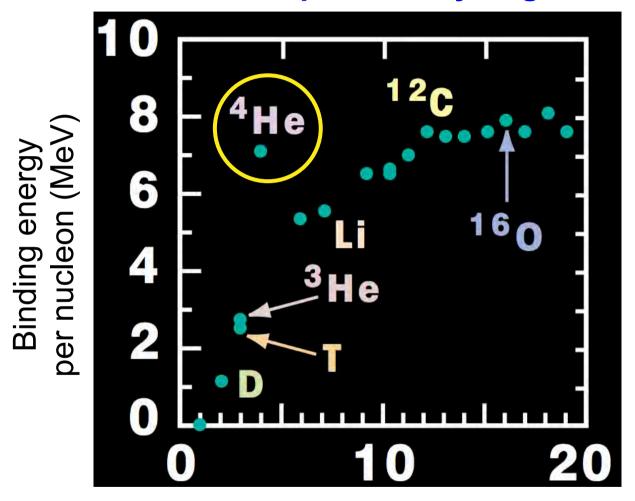


Nucleon mass number A

Maximum at ~ <sup>62</sup>Ni: tremendous consequences for heavy stars

#### **Energy gain in fusion reactions**

#### <sup>4</sup>He has a particularly large binding energy



Nucleus	Total Binding Energy (MeV)
$D = {}^{2}H$	2.22457
$T = {}^{3}H$	8.48182
<sup>3</sup> He	7.71806
<sup>4</sup> He	28.29567

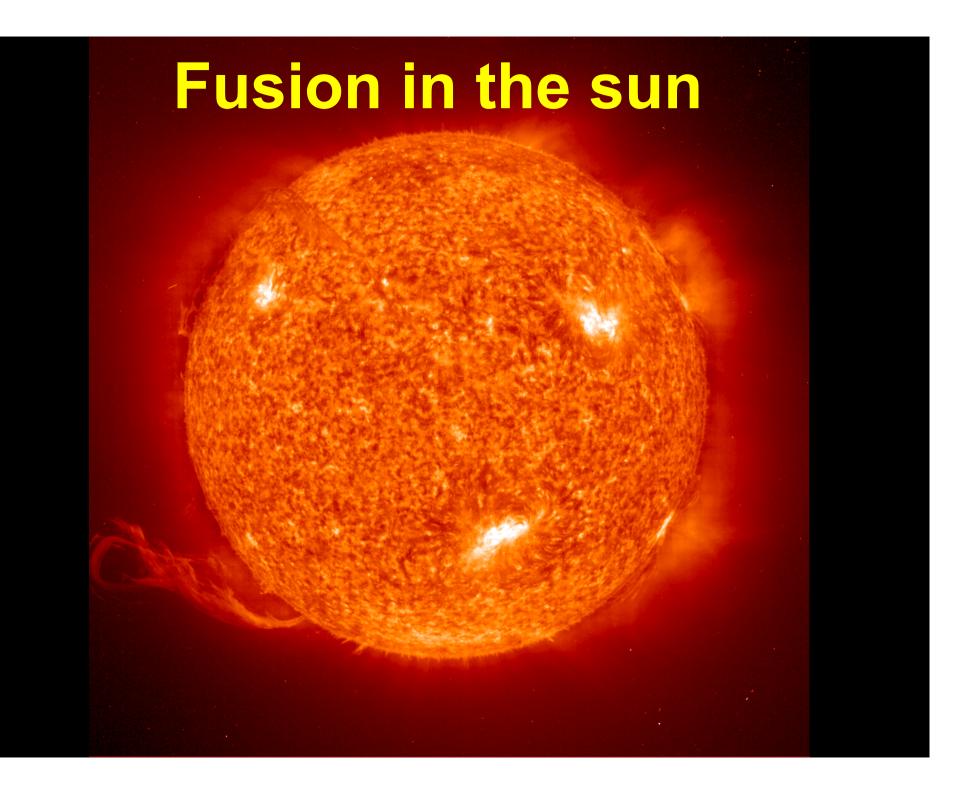
Nucleon mass number A

Large gain in energy when <sup>4</sup>He is one of the reaction products

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#### Some facts about our sun

#### Temperature at edge

From Stefan-Boltzmann law and measured Luminosity L

L = 
$$4\pi\sigma$$
 R<sup>2</sup><sub>sun</sub> T<sup>4</sup><sub>edge</sub>  $\rightarrow$  T<sub>edge</sub> = 5780K  
(σ = Stefan-Boltzmann constant =5.670×10<sup>-8</sup> J m<sup>-2</sup> K<sup>-1</sup> s<sup>-1</sup>)

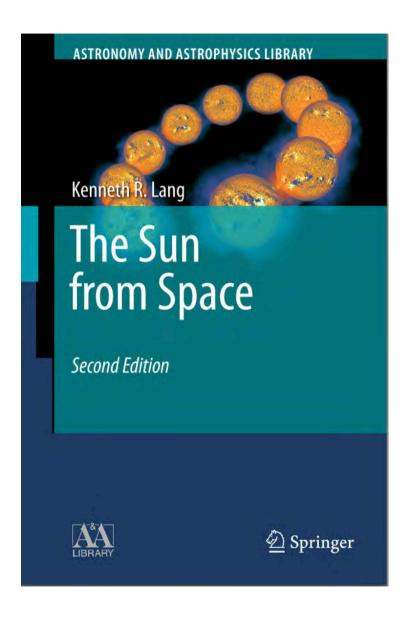
#### **Temperature in centre:**

Proton thermal energy in centre (= 3/2 kT) equal to potential energy from gravity per proton:

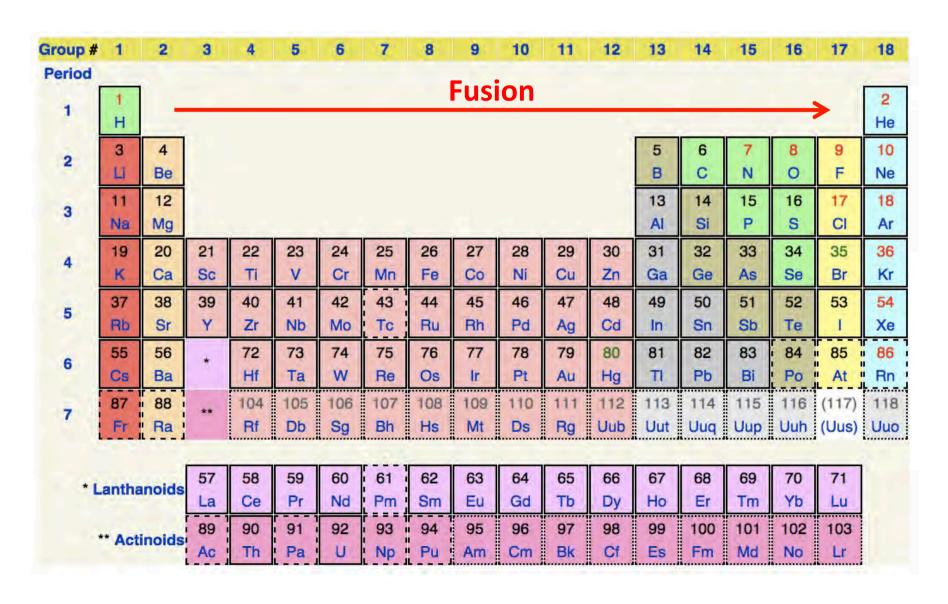
1.5k 
$$T_{centre} = Gm_p M_{sun}/R_{sun} \rightarrow T_{centre} = 15 600 000 K$$

(G=gravitational constant= $6.6726\ 10^{-11}\ Nm^2kg^{-2}$  k=Boltzmann's constant= $1.38\ 10^{-23}\ J\ K^{-1}$  m<sub>p</sub> = mass of proton =  $1.6726\times 10^{-27}\ kg$ .

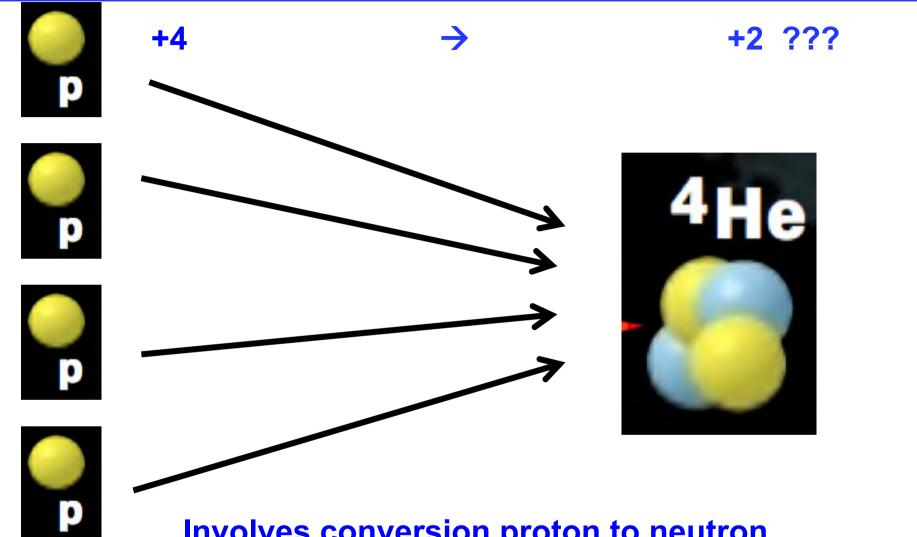
## Interesting recent reference on our sun



#### Fusion from hydrogen to helium



#### **Helium from protons only?**



Involves conversion proton to neutron

Very difficult and slow reaction (which is good for us....)

Sun: Every second: 4 million tonnes transformed → Energy

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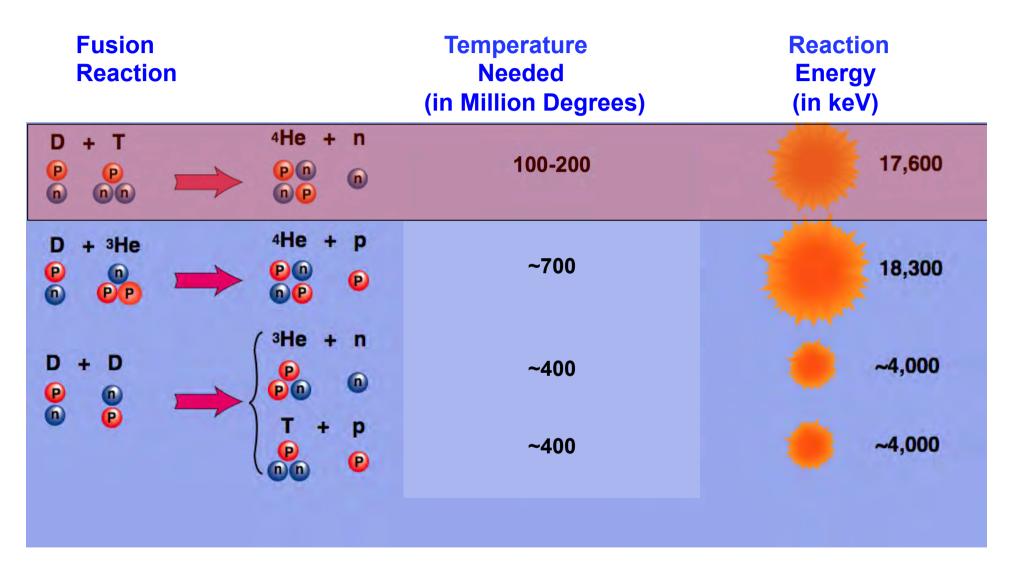
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# Fusion on earth

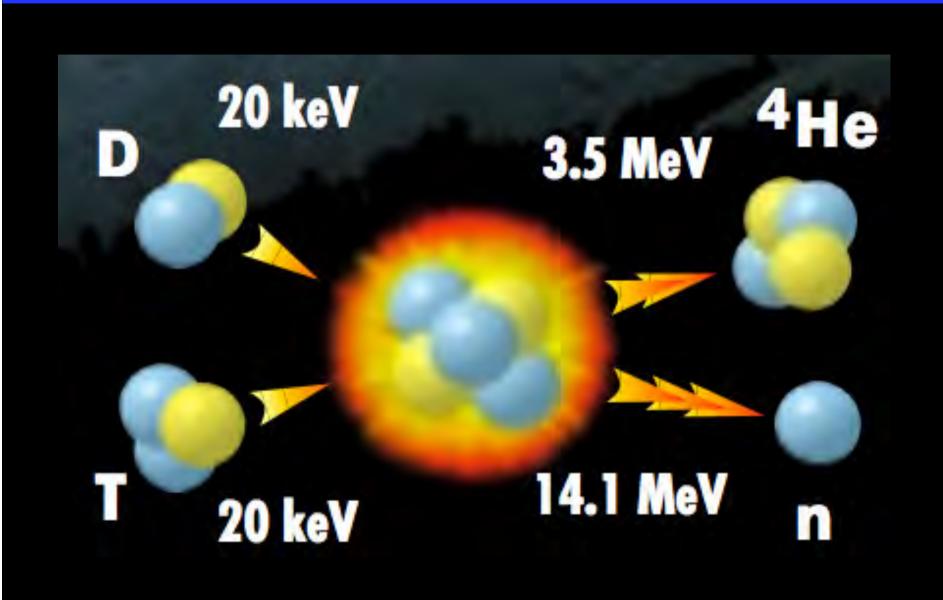


#### 'Easiest' fusion reactions



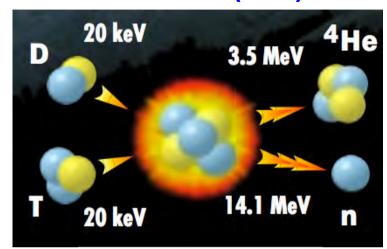
Extensive database on fusion reactions: http://pntpm3.ulb.ac.be/Nacre/barre\_database.htm

## The 'simplest' fusion reaction on earth

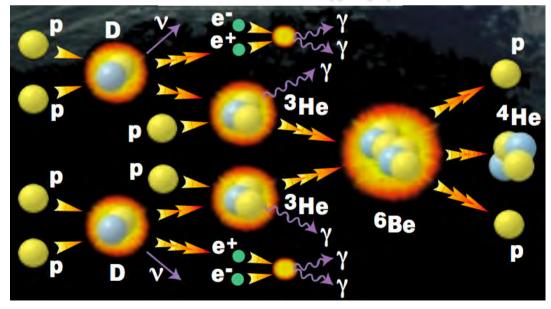


#### Comparison: fusion reaction on earth and in the sun

#### On earth (D-T)



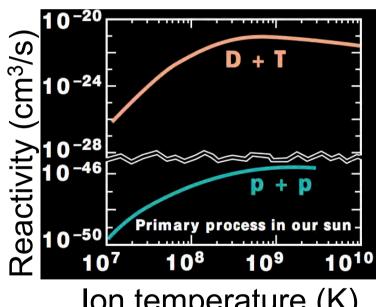
In the sun (p-p)



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**D-T** reaction has 10<sup>25</sup> times larger reactivity (cm<sup>3</sup>/s) than the p-p reaction



Ion temperature (K)

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#### **Tritium Breeding inside the reactor**

D+T 
$$\rightarrow$$
 <sup>4</sup>He + n +  $\Delta$ E  
 $\stackrel{\downarrow}{n}$  + <sup>6</sup>Li  $\rightarrow$  <sup>4</sup>He + T  
 $\stackrel{\uparrow}{n}$  + <sup>7</sup>Li  $\rightarrow$  <sup>4</sup>He + T + n  
D + Li  $\rightarrow$  <sup>4</sup>He + 100 x 10<sup>6</sup> kWh/ kg

#### **Advantages of fusion**

- Ash is <sup>4</sup>He
  - no radioactivity
  - chemically inert : no ozone depletion, no acid rain,...
  - no greenhouse effect
  - ⇒ Excellent environmental compatibility
- Does not imply long term storage of radioactive waste
  - part of fuel is active (tritium), but consumed in reaction
  - choice of structural materials to reduce long lived activity
  - ⇒ Offers prospect to recycle radioactive waste in 1-2 generations
- Inherently safe
  - malfunction of control system does not lead to runaway
  - 'gas burner': shutting down gas supply stops reactor
  - ⇒ Tchernobyl like accident EXCLUDED
- Inexhaustible
  - fuel consumption is minimal, reaction releases lots of energy
  - ⇒ Energy source for thousands/millions of years
- Energy independence
  - no geographical dependence for fuel
  - ⇒ Avoid geopolitical difficulties

#### **Energy needed to initiate fusion reaction**

#### Height of the Coulomb barrier V<sub>C</sub>

$$V_{C} = \frac{q^{2}Z_{x}Z_{y}}{4\pi\varepsilon_{0}(R_{x} + R_{y})}$$
 Joule  

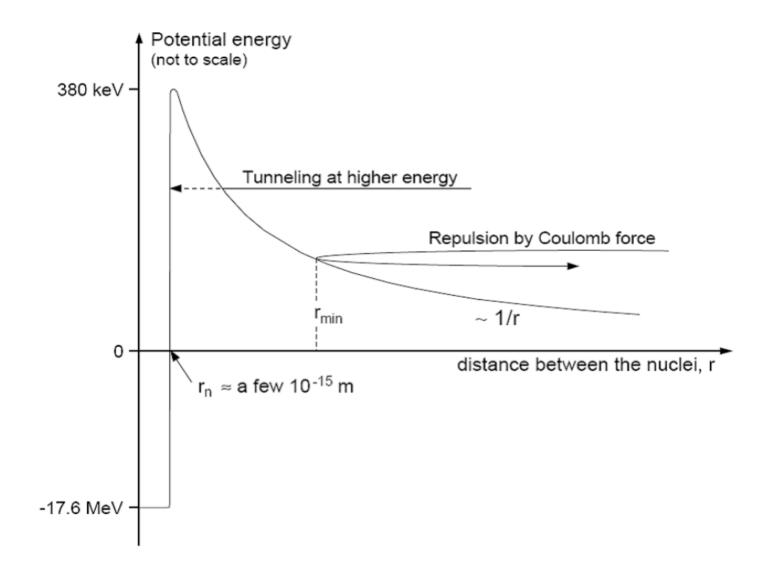
$$= 1.44 \frac{Z_{x}Z_{y}}{1.4(A_{x}^{1/3} + A_{y}^{1/3})}$$
 MeV

For the D-T reaction we find  $V_c = 0.38 \text{MeV} = 380 \text{ keV}$ . The corresponding gas temperature is  $\sim 4.4 \cdot 10^9 \text{ K}$ 

However the maximum fusion density is reached at 10-15 keV or 110-160 10<sup>6</sup> K...

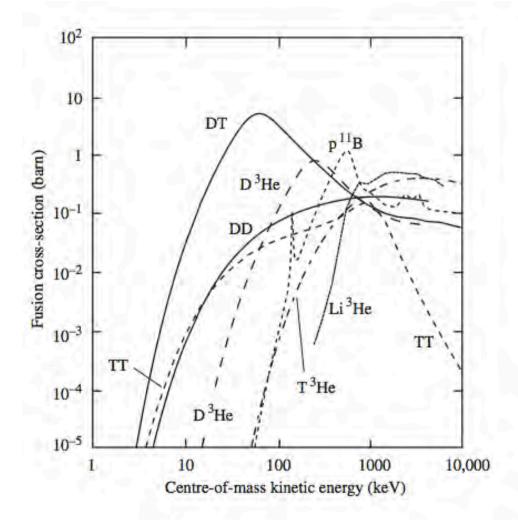
(Note: 1 eV ~ 11600 K, see appendix)

#### Most fusion reactions occur through tunneling



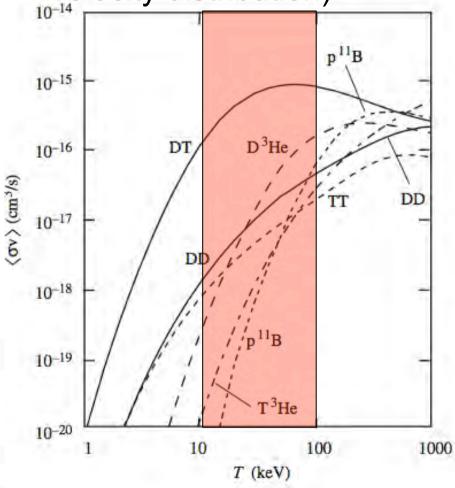
#### **Fusion Cross-Sections and Reactivities**

Fusion cross-section (in barn =  $10^{-28}$  m<sup>2</sup>)



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Fusion Reactivity in cm<sup>3</sup>s<sup>-1</sup> (averaged over Maxwellian velocity distribution)



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#### **Optimal temperature for fusion**

#### D-T reaction: Maximum for T ~ 10-15 keV

Fusion power:

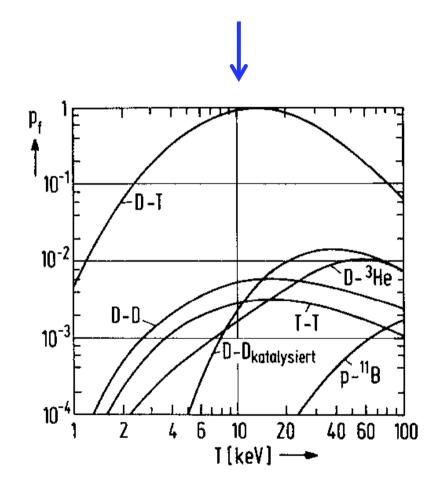
$$P_{\text{fusion}} \sim n^2 < \sigma v > E_{\text{fus}}$$

At fixed pressure

$$p = cte = nkT --> n \propto 1/T$$

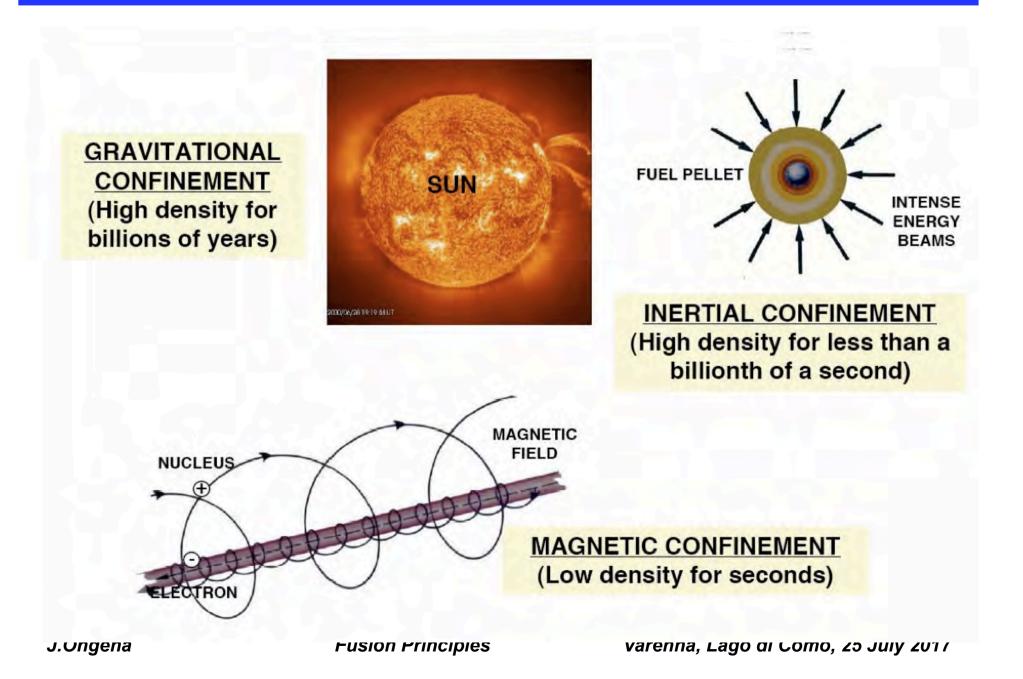
Thus:

$$P_{\text{fusion}} \sim \langle \sigma v \rangle E_{\text{fus}} / T^2$$

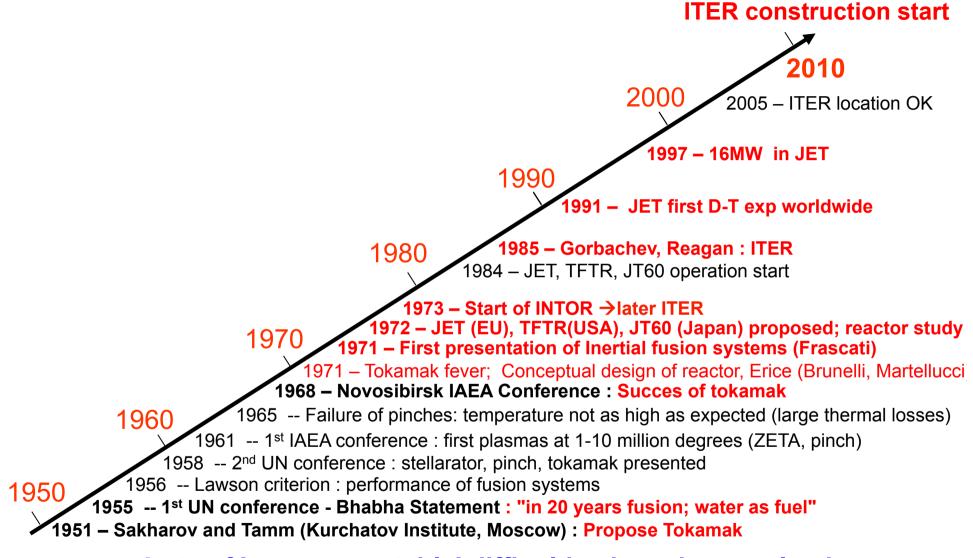


P<sub>fusion</sub> normalized to max of D-T

### How to confine matter at very high temperatures?



#### **Brief history of fusion research**



Lots of 'unsurmountable' difficulties have been solved But still a lot of challenges ahead

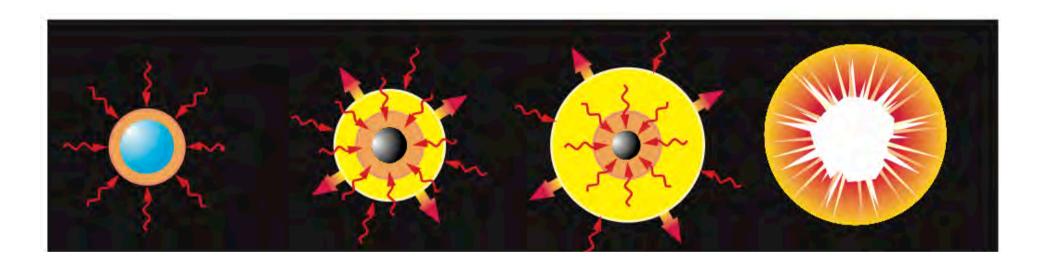
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# Realizing Fusion A. Inertial Fusion

# Using powerful laser or particle beams to compress a tiny pellet



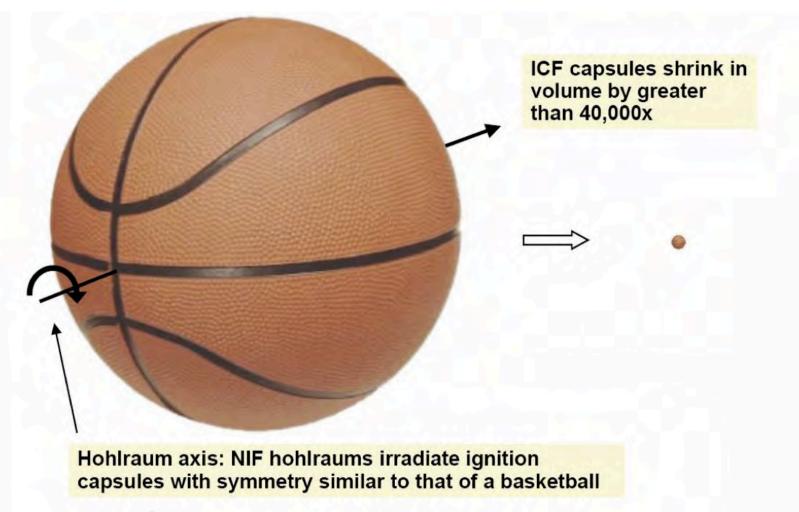
**Surface Heating** 

**Compression** 

**Ignition** 

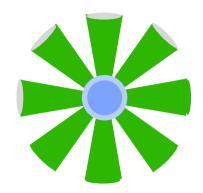
**Fusion** 

# Realizing Fusion A. Inertial Fusion



Very powerful laser systems needed High requirements for isotropic illumination of target

#### Two options: direct and indirect drive







#### indirect drive by X-rays

#### **Better efficiency but:**

- > less stable and
- less symmetric implosion

#### Less efficient but:

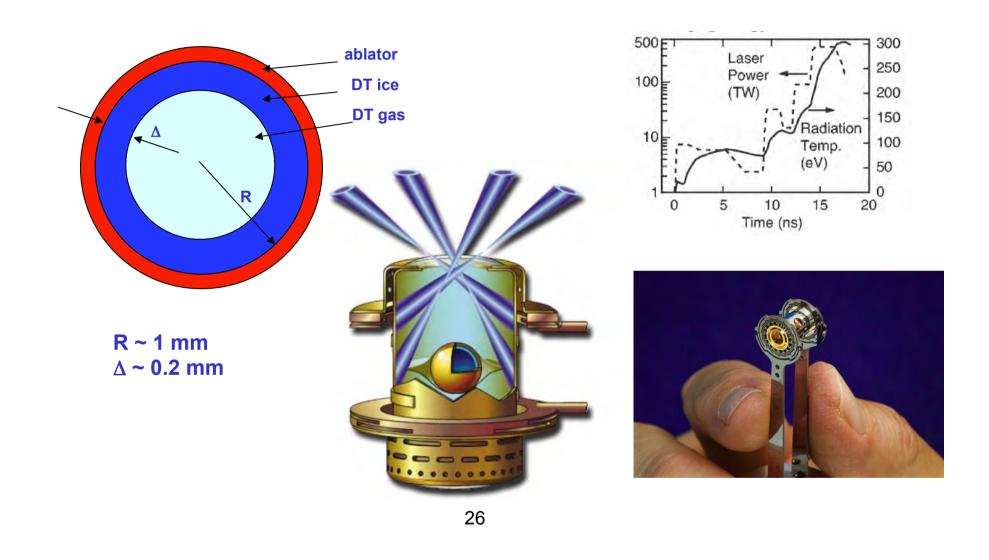
- more stable and
- more symmetric implosion

Inertial fusion facilities:

NIF (USA) and LMJ (France)

Planned (EU): HiPER

## **Targets for Inertial Fusion**



#### USA: National Ignition Facility (NIF) Livermore, California Experiments ongoing



in operation from March 2009





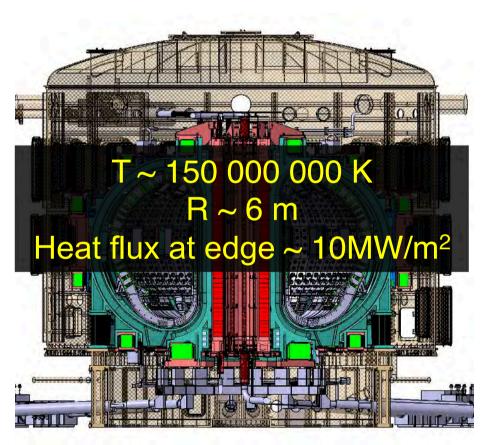
~ One experiment / week

# Realizing Fusion: B. Magnetic Fusion – a real challenge

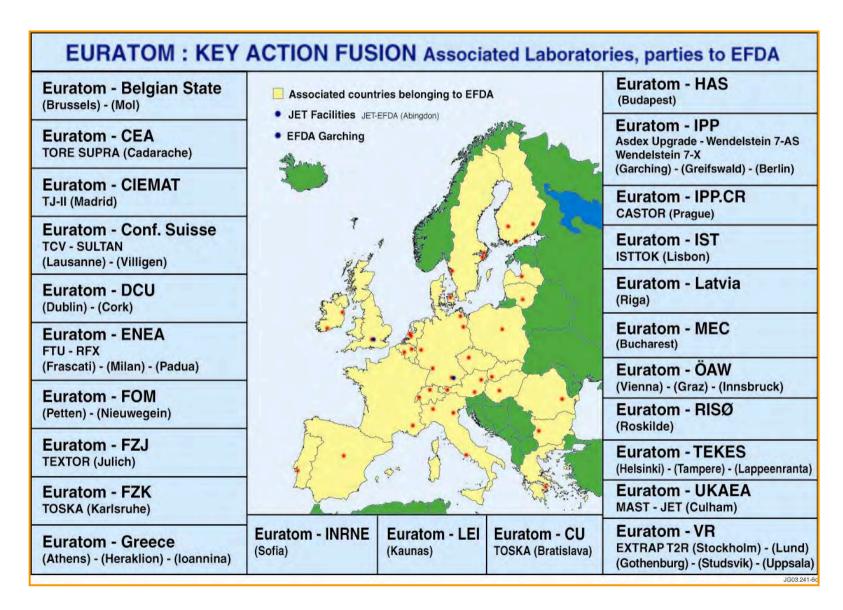
#### Sun

# T~ 15 000 000 K R ~ 600 000 000 m Heat flux at edge ~ 60MW/m<sup>2</sup> http://nssdc.gsfc.nasa.gov/planetary/factsheet/sunfact.html

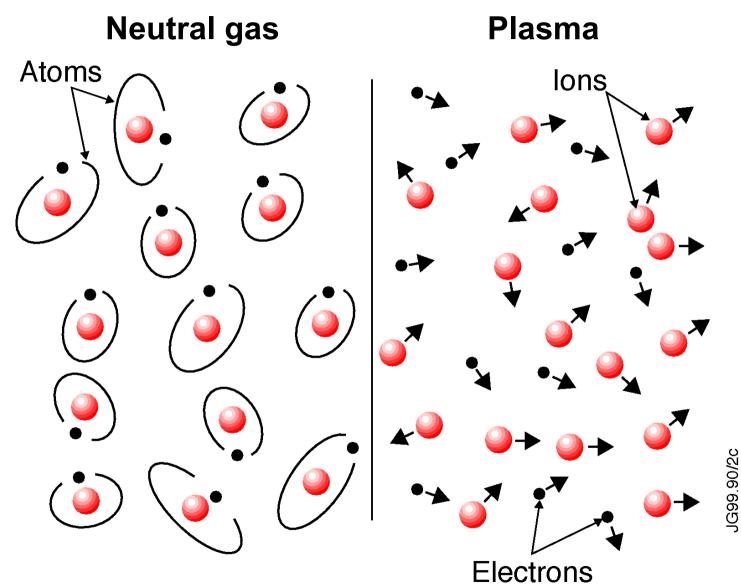
#### ITER (France, in construction)



#### **Fusion research in Europe**



#### Principle of magnetic fusion



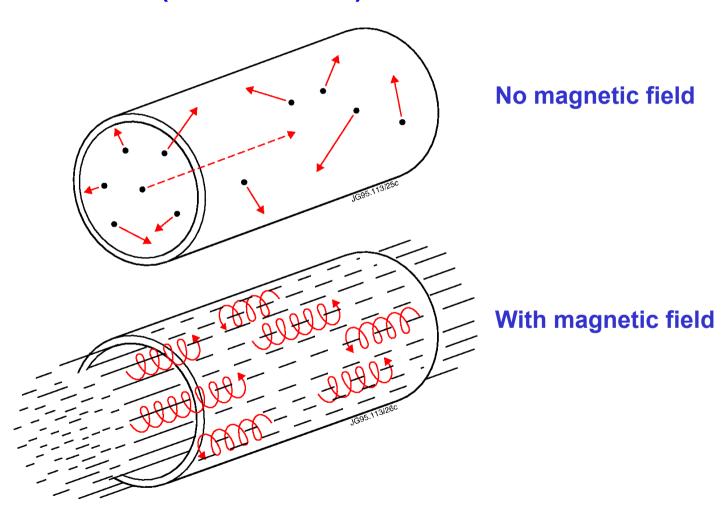
Low temperature / High temperature

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#### **Principle of magnetic fusion**

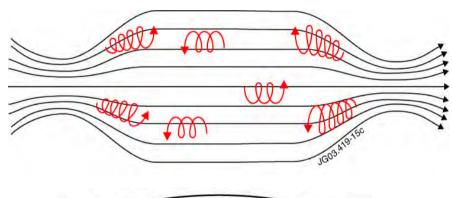
# Charged particles 'stick' to magnetic field lines (Lorentz force)



#### Principle of magnetic fusion

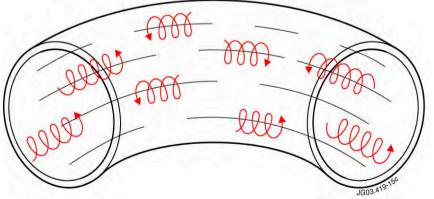
# Particles follow magnetic fields how to limit losses at the end of cylinder?

#### Two possible solutions



'close' magnetic field at ends

BUT: too high losses at ends



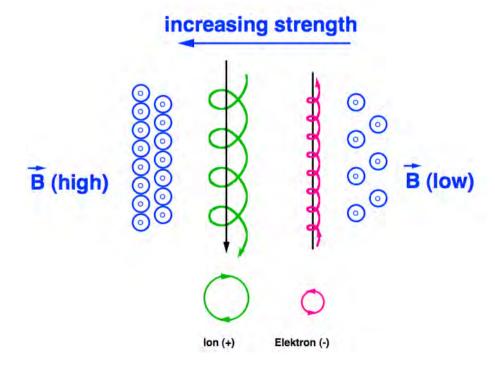
- 'close' magnetic fields on themselves
  - ⇒ toroidal configuration, BUT.....

#### Pure Toroidal field does not work: Charge Separation!

## Fundamental Reason: Gyroradius varies with magnetic field and particle speed

$$\rho_L = \frac{\text{mv}_\perp}{\text{qB}}$$

## Pure toroidal magnetic field Charges Separate → Electric Field



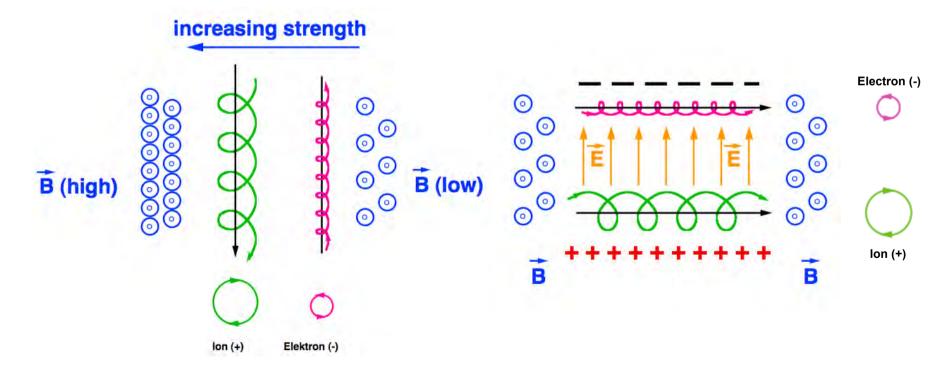
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Pure toroidal magnetic field Charges Separate → Electric Field

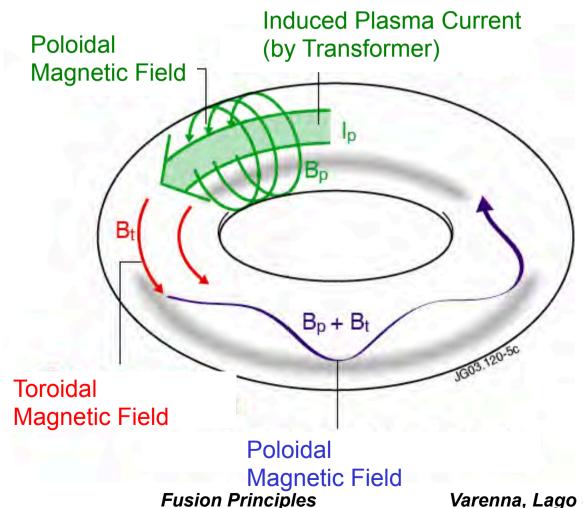
Magnetic field + Electric Field ALL particles move outward!



#### Realizing a helicoidal magnetic field: Option 1

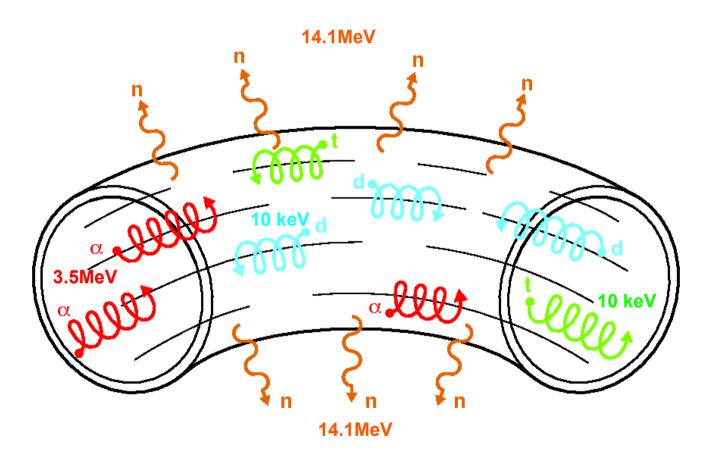
## **Tokamak**

Large current induced in plasma (~100kA - 10MA)



#### **Tokamak – Final Configuration**

#### A torus with a large flux of high energetic neutrons



Nota: 1 keV = 11 600 000 °C

## **Tokamak – Summary**

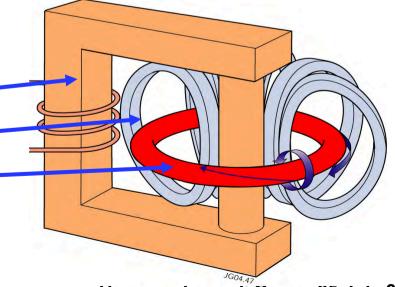
Tokamak, from the Russian words:

toroidalnaya kamera, s magnitnami katushkami meaning "toroidal chamber" with "magnetic coils"



 Invented by : Andrei Sacharov and Igor Tamm (both Noble Prize Winners)
 at the Kurchatov Institute in Moscow in 1950

- Essentially a tokamak consists of :
  - large transformer \_\_
  - coils for magnetic fields
  - plasma ring with large plasma current

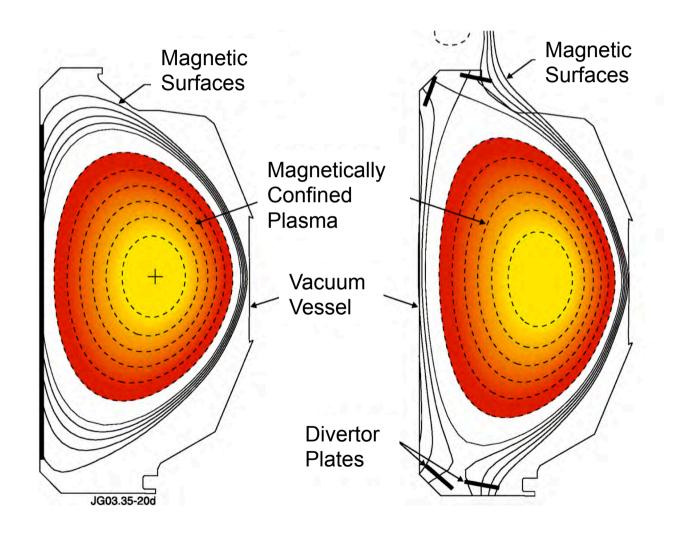


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## Two tokamak configurations in use

## **Limiter Configuration**

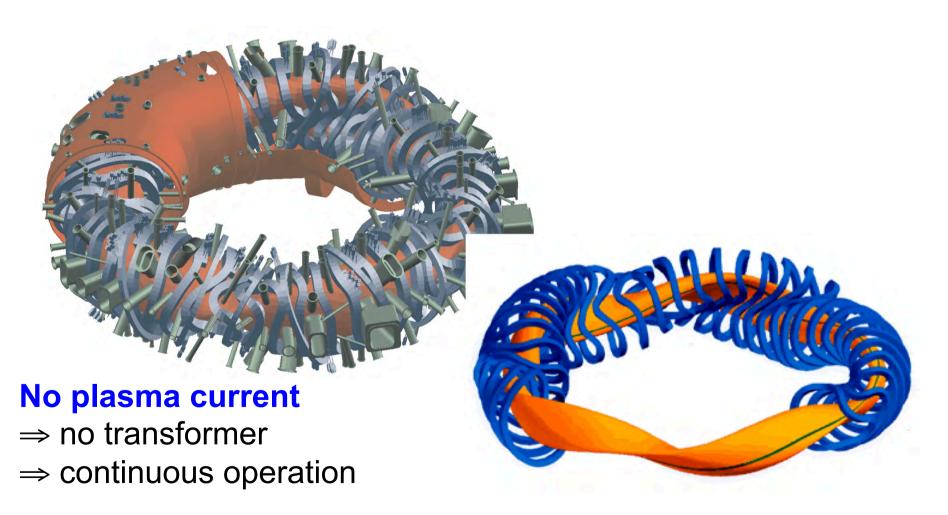
## **Divertor Configuration**



## Realizing a helicoidal magnetic field: Option 2

# **Stellarator**

Complex 3D coils create directly a helical field



#### Wendelstein 7-X: Overview

#### **Physics goals**

Fusion product should be about 1/50 of a fusion reactor

Major, average minor radius: R=5.5 m, <a>=0.53 m

Magnetic field on plasma axis: B=2.5 T

Test magnetic field optimization

physics experiment: H, D plasmas only, additional planar coils

heating systems 10MW ECRH, 20 MW NBI, ICRH

mimic α-particle heating: ICRH, *NBI* 

low impurity content, heat removal divertor

#### **Technological goals**

Reactor feasability of stellarators

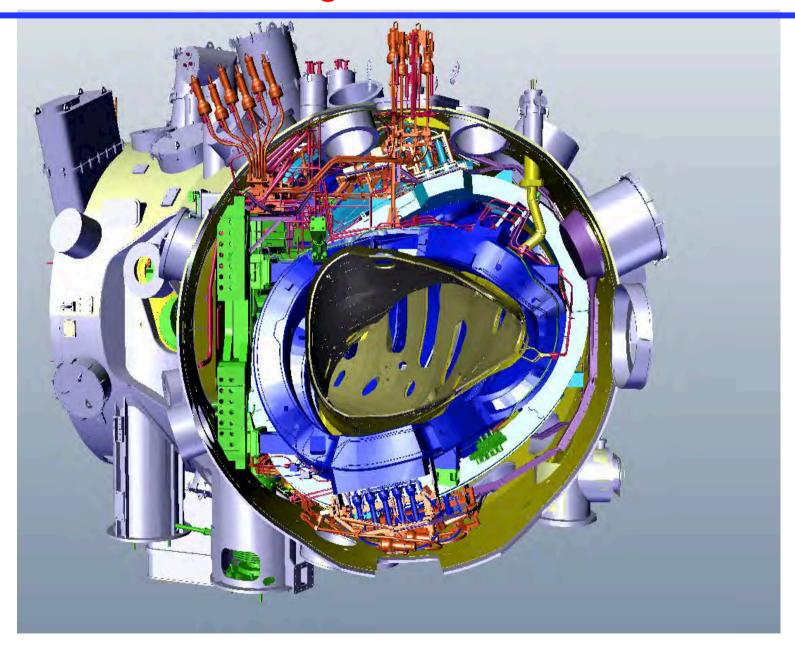
steady-state operation 30 minute plasma heating with ECRH

superconducting coils

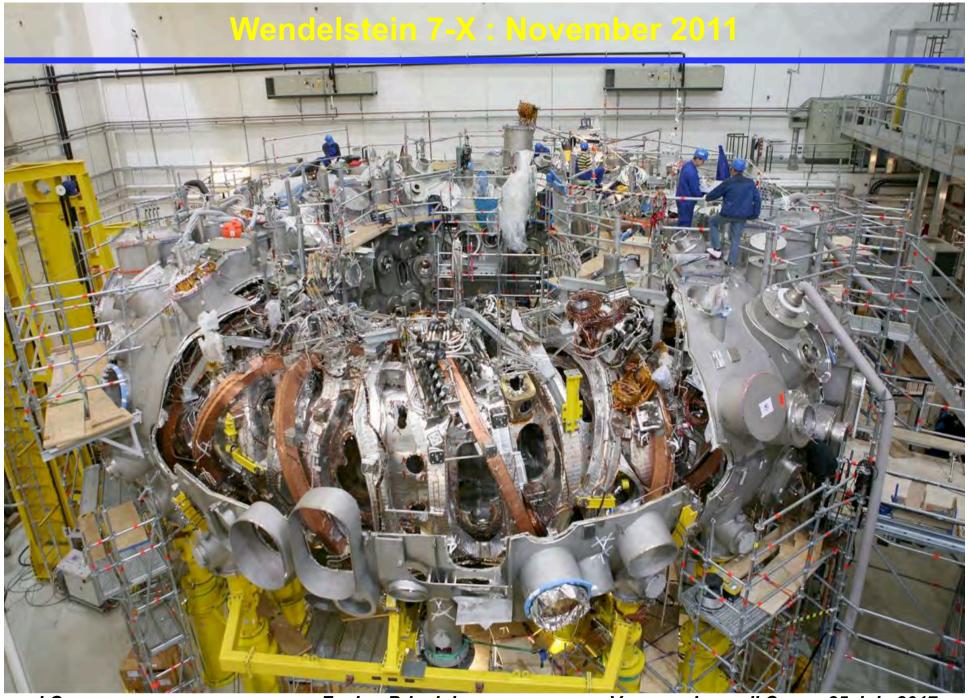
active cooling of

plasma facing components

# Wendelstein 7-X: Largest Stellarator in the world



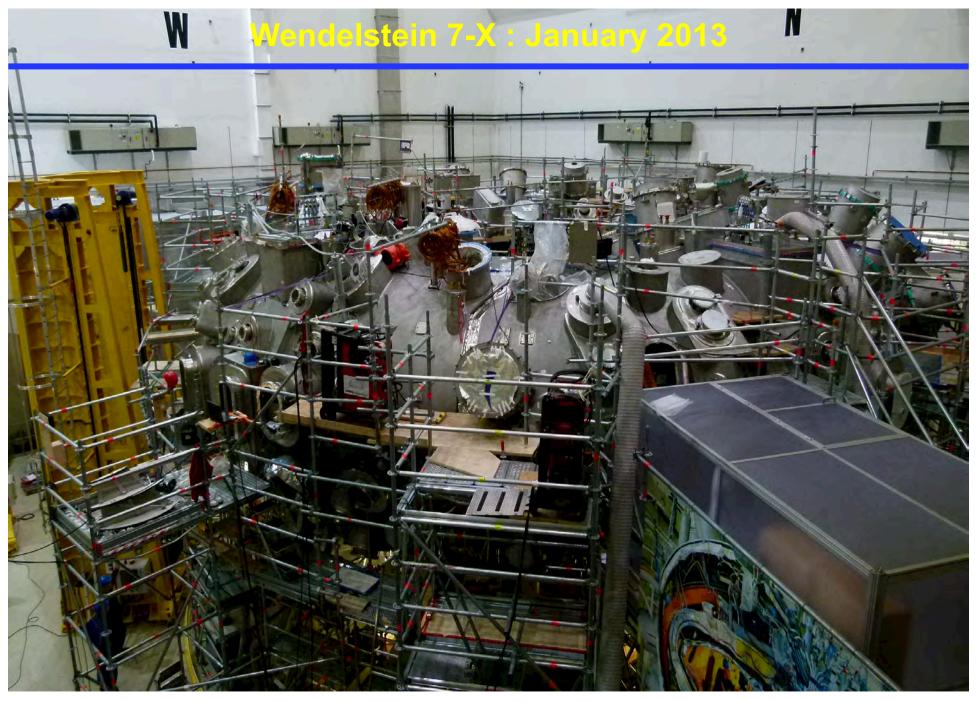
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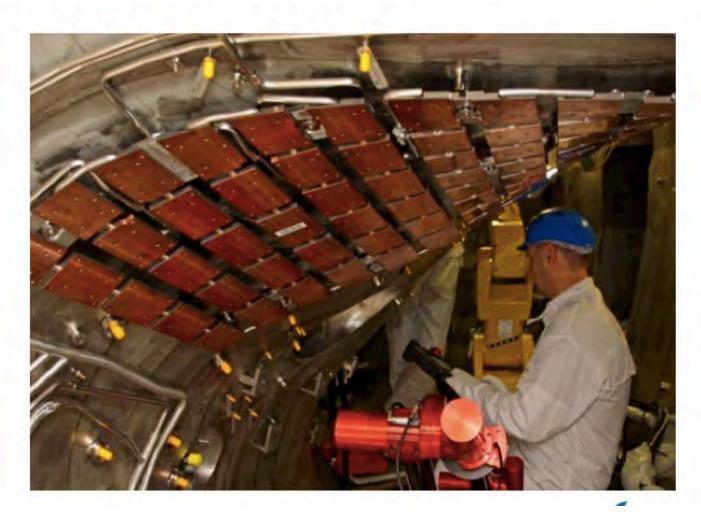


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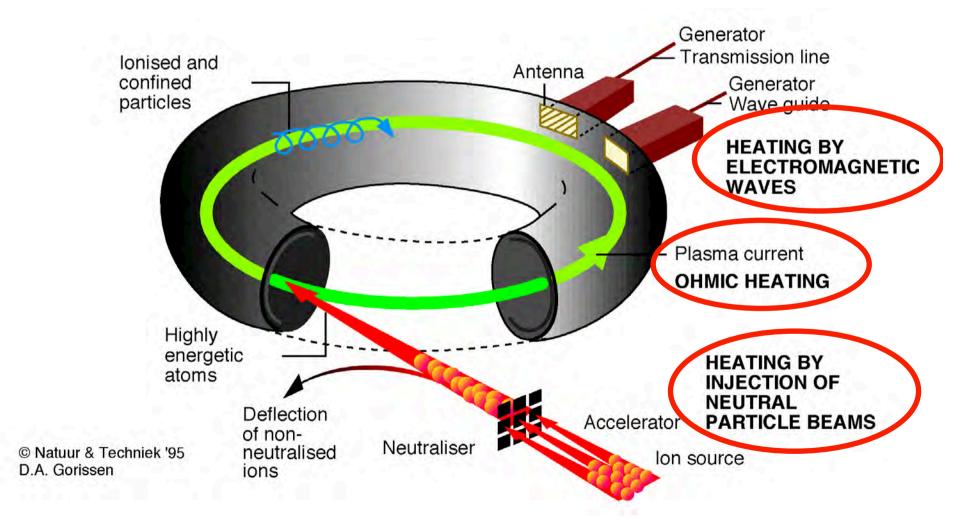
## Stellarator W7-X: Completion of assembly 2014



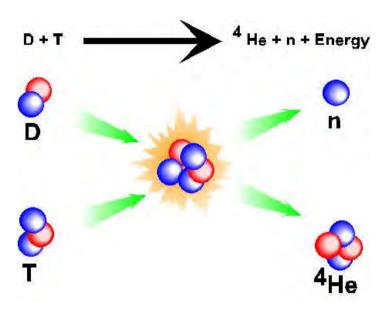
Start of Commissioning of W7-X: 20 May (~ 2 months ago)

## How to create the ultra high temperatures needed?

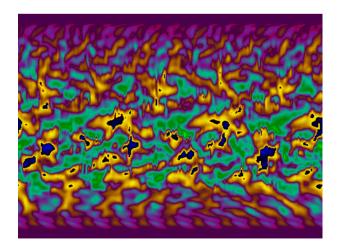
## In a future fusion reactor: α-particle heating



## The main difficulty of magnetic fusion: keep a huge T gradient



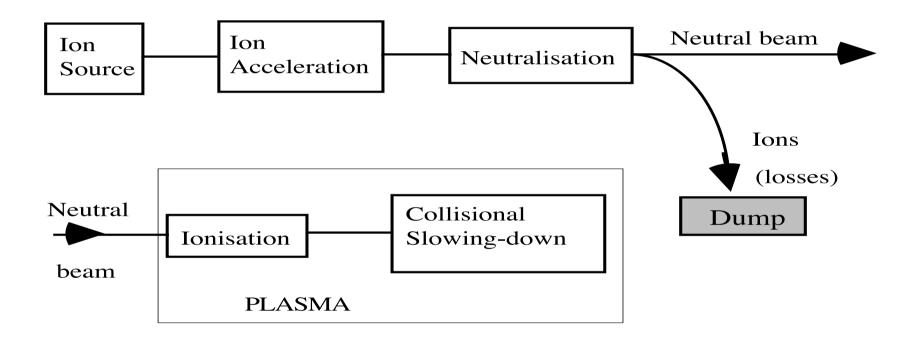
- Two positive nuclei (D<sup>+</sup> and T<sup>+</sup>) at short distance
  - strong repulsion
    EXTREMELY HIGH temperatures
    needed to bring the nuclei close
    enough together: ~200 000 000 K
- Special methods needed to heat and confine the fuel



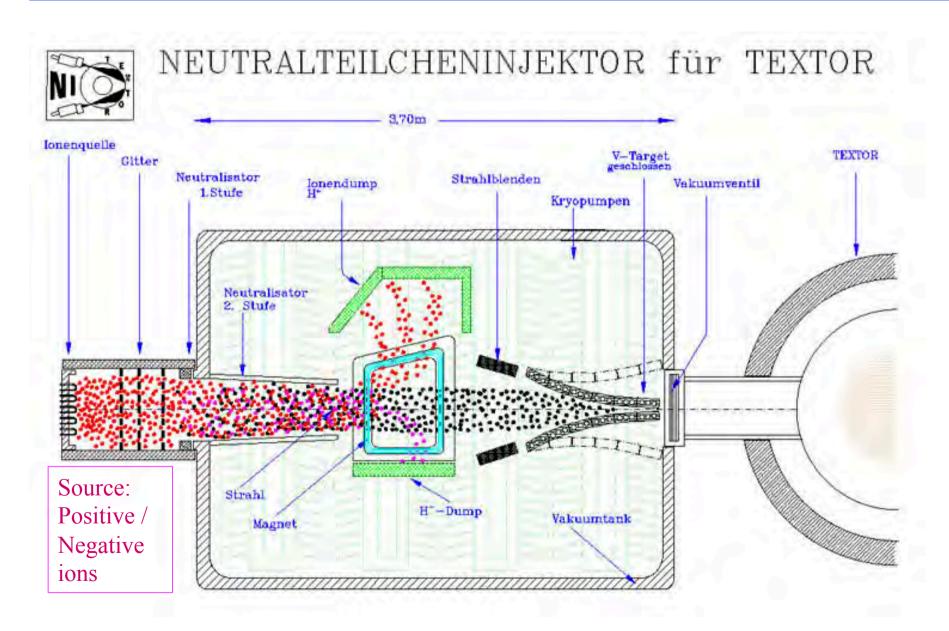
- Very large gradient in temperature (~ 200 000 000K/m)
  - gradients limited by turbulence
  - ⇒ TURBULENT medium : very complex physics

## **Neutral beam injection**

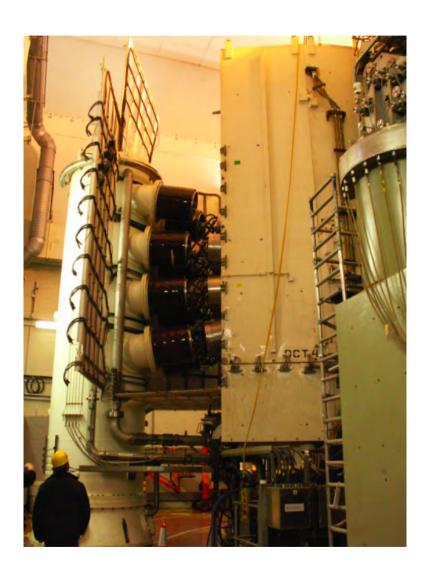
#### • Principle:



## **Neutral beam injection in practice**



## **Example of Neutral beam injection (in JET)**



- One of the neutral beam injectors in JET
- Four of the 8 PINIs (Positive Ion Neutral Injector) are visible:
  - ✓ 120keV
  - √ 2MW D<sup>0</sup> beam
- Total power available From NBI in JET: max. ~ 36MW

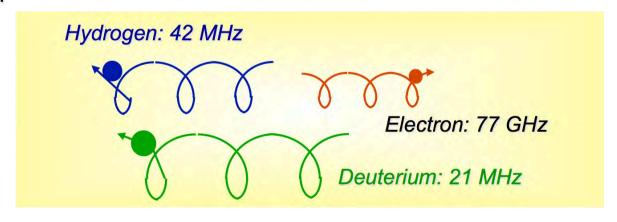
## How do radiowaves heat plasmas?

Particles turn around magnetic field line @ ion cyclotron frequency:

$$\omega_{ci} = 2\pi f_{ci} = \frac{q}{m} B \propto \frac{Z}{A} B$$
Z=ion charge, A=ion mass  $f_{ci}$  different for D ions, H ions, electrons

B magnetic field = function of radius  $f_{ci}$  depends on the position in the plasma

Example in plasma centre at R ~ 3m and for B ~ 2.7 Tesla in JET



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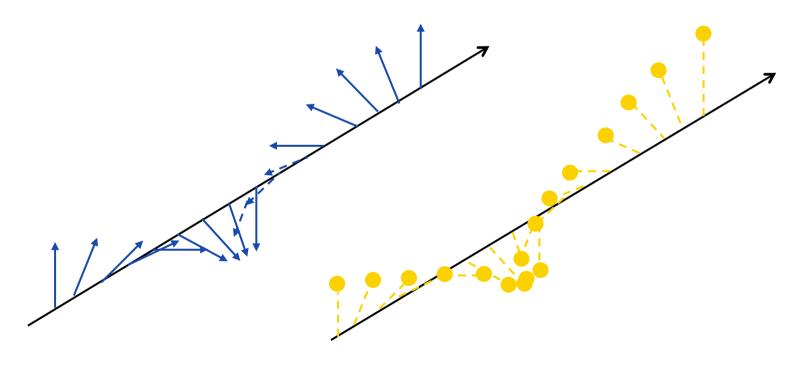
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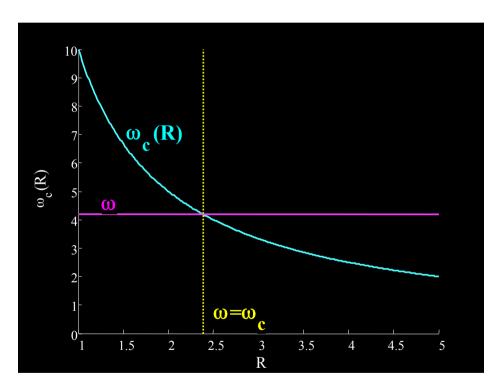
## How do radiowaves heat plasmas?

If RF Wave frequency f<sub>ICRF</sub> = ion cyclotron frequency f<sub>IC</sub>

- ✓ These ions see a constant electric field
- ✓ These ions absorb the energy RF wave → they become very energetic
  - ➡ Energy gained transferred by collisions to background electrons and ions → general plasma heating
- ✓ These ions are said to be "resonant" with the wave



# How do radiowaves heat plasmas?



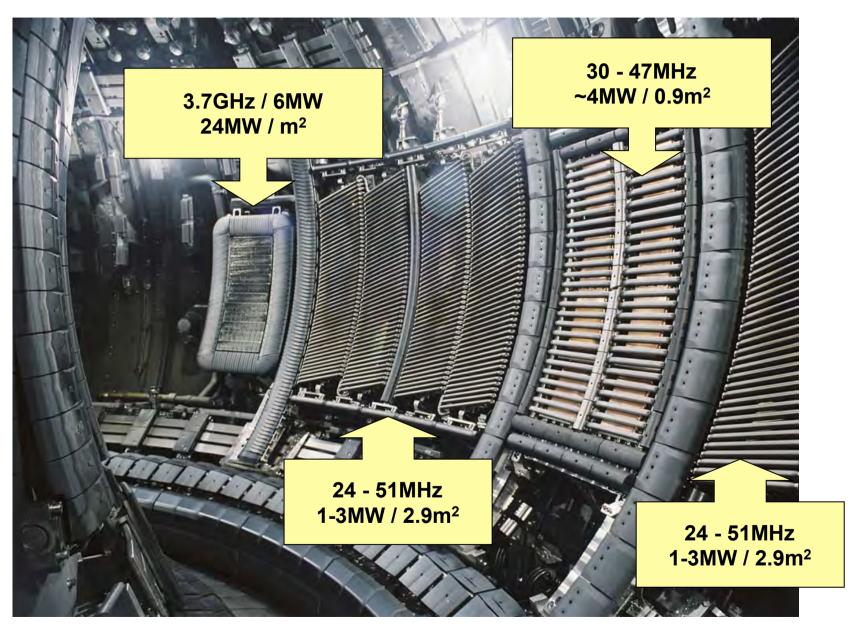
$$\omega_c = (q/m)B$$



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**Fusion Principles** 

## **Example of RF heating systems (in JET)**



## Power Amplification Factor Q, Break-even, Ignition

$$Q = \frac{P_{\text{fusion}}}{P_{\text{external heating}}}$$

#### **Breakeven Q=1**

when 
$$P_{\text{fusion}} = P_{\text{external heating}}$$

#### Ignition $Q = \infty$

when P<sub>external heating</sub> = 0: no external heating needed Selfsustained fusion reaction

#### Note:

Q relates to the balance between fusion and external heating power only

It is **not** representative for the balance between total power consumption (magnetic fields, additional systems) and fusion power output

## Plasma Energy and Energy Confinement Time

$$\begin{aligned} & \mathbf{W}_{p} = 1.5 \int_{V} k \left[ n_{e}(r) \, T_{e}(r) + n_{i}(r) \, T_{i}(r) \right] \, dV \\ & \frac{dW_{p}}{dt} = P - \underbrace{\begin{pmatrix} W_{p} \\ \tau_{E} \end{pmatrix}} \quad \text{If } P = 0 \implies W_{p}(t) = W_{p}(0) \, e^{-t/\tau_{E}} \end{aligned}$$

Transport losses by conduction and convection

 $\tau_{\text{E}}$  measures how fast the plasma looses its energy  $\tau_{\text{F}}$  is a measure for the thermal insulation of the plasma

Under stationary conditions (dW/dt=0) : 
$$\tau_E = \frac{W_p}{P}$$

#### **Lawson Criterium for D-T reaction**

#### Heating power must be large enough to compensate for the losses

$$P_{heat} + P_{\alpha} \ge P_{transport} + P_{Bremsstrahlung}$$

$$P_{heat} Q = P_{fusion} = 5 P_{\alpha}$$

$$P_{\alpha}\left(\frac{Q+5}{Q}\right) \ge \frac{W_p}{\tau_E} + C_B T_e^{1/2} n_e^2$$

Plasma neutrality : n<sub>i</sub> = n<sub>e</sub> → W<sub>p</sub> = 3 n<sub>e</sub>kT<sub>e</sub>

50%-50% D-T reaction :  $n_T = n_D = \frac{1}{2} n_e$ 

$$\frac{1}{4}n_{e}^{2}E_{a} < \sigma v > \left(\frac{Q+5}{Q}\right) \ge \frac{3n_{e}kT_{e}}{\tau_{E}} + C_{B}T_{e}^{1/2}n_{e}^{2}$$

## Lawson Criterium for Breakeven (Q=1)

$$n_{e}\tau_{E} = \frac{3kT_{e}}{<\sigma v > E_{\alpha}(Q+5)} - C_{B}T_{e}^{1/2}$$

$$4Q$$

# Condition for Breakeven (Q=1) at 15 keV in D-T

$$n_e \tau_E \ge 10^{20} \, m^{-3} s$$

## **Lawson Criterium for Ignition (Q=∞)**

$$n_e \tau_E \ge \frac{3kT_e}{<\sigma v > E_\alpha} - C_B T_e^{1/2}$$

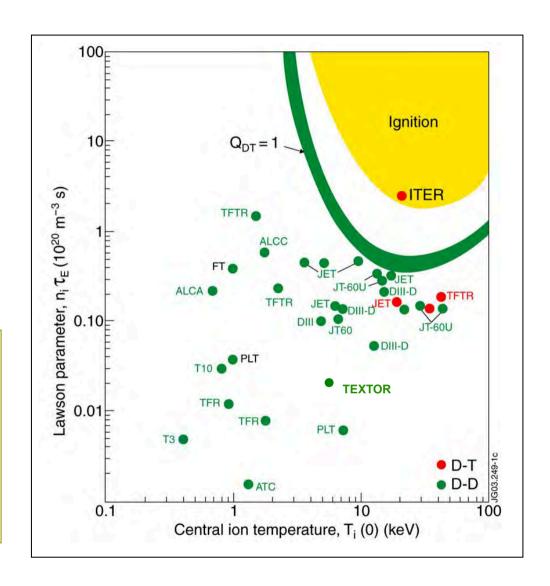
# Condition for Ignition (Q=∞) at 15 keV in D-T

$$n_e \tau_E \ge 3 \times 10^{20} \, m^{-3} s$$

#### Present tokamak devices close to Break-Even

Present machines are close to produce fusion energy comparable with the energy required to sustain the plasma (breakeven: Q=1)

Next step devices (ITER) are expected to produce significantly more fusion energy than the energy required to sustain the plasma (close to Ignition  $Q=\infty$ )



#### APPENDIX

## Some parameters of our sun (for ref.)

Table 3.1 Physical parameters of the Sun<sup>a</sup>

Mass	$1.989 \times 10^{30}$ kg (332,946 Earth masses)
Radius	$6.955 \times 10^8 \mathrm{m} (109 \mathrm{Earth  radii})$
Volume	$1.412 \times 10^{27} \mathrm{m}^3$ (1.3 million Earths)
Density (center)	$151,300 \mathrm{kg} \mathrm{m}^{-3}$
Density (mean)	$1,409 \mathrm{kg} \;\mathrm{m}^{-3}$
Pressure (center)	$2.334 \times 10^{11}$ bars
Pressure (photosphere)	0.0001 bar
Temperature (center)	$15.6 \times 10^6 \mathrm{K}$
Temperature (photosphere)	5,780 K
Temperature (corona)	$2-3 \times 10^6 \mathrm{K}$
Luminosity	$3.854 \times 10^{26} \mathrm{J \ s^{-1}}$
Solar constant	$1,361 \mathrm{J \ s^{-1} \ m^{-2}} = 1,361 \mathrm{W \ m^{-2}}$
Mean distance	$1.4959787 \times 10^{11} \mathrm{m} = 1.0 \mathrm{AU}$
Age	4.55 billion years
Principal chemical constituents (by number of atoms)	1 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
Hydrogen	92.1%
Helium	7.8%
All others	0.1%

<sup>&</sup>lt;sup>a</sup>Mass density is given in kilograms per cubic meter, or kg m<sup>-3</sup>; the density of water is  $1,000 \, \text{kg m}^{-3}$ . The unit of pressure is bars, where 1.013 bars is the pressure of the Earth's atmosphere at sea level. The unit of luminosity is J s<sup>-1</sup>, power is often expressed in watts, where  $1.0 \, \text{W} = 1.0 \, \text{J s}^{-1}$ 

## Particle energies and temperatures

# Particles in a gas at temperature T Velocity described by a Maxwell Boltzmann distribution

$$p(v) \propto v^2 \exp(-\frac{mv^2}{kT})$$

p(v) is the probability that the velocity is located in the interval [v, v+dv]

The kinetic energy E of a particle with the most probable speed is kT (k is the Boltzmann constant : 1.38 10<sup>-23</sup> J/K

Conversion factor between eV and T

$$E = 1eV = 1.602 \ 10^{-19} \ J$$
  
 $\rightarrow T = 1.602 \ 10^{-19} \ / \ 1.38 \ 10^{-23} \sim 11600 \ K$ 

# **Fusion reactions and energy release**

Main controlled fusion fuels	Energy relea	sed (MeV)		
$D+T \rightarrow \alpha + n$	17.59			
$\int T + p$	4.04			
$D+D \rightarrow \begin{cases} T+p\\ {}^{3}He+n\\ \alpha+\gamma \end{cases}$	3.27	Reactions involving H or isotopes		
$\alpha + \gamma$	23.85	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		
$T + T \rightarrow \alpha + 2n$	11.33			
Advanced fusion fuels				
$D + {}^{3}He \rightarrow \alpha + p$	18.35			
$p + {}^{6}Li \rightarrow \alpha + {}^{3}He$	4.02	'Aneutronic' fusion reactions		
$p + {}^{7}Li \rightarrow 2\alpha$	17.35	Alleutionic fusion reactions		
$p + {}^{11}B \rightarrow 3\alpha$	8.68			
The p-p cycle				
$p + p \rightarrow D + e^+ + \nu$	1.44			
$D + p \rightarrow^3 He + \gamma$	5.49			
$^{3}\text{He} + ^{3}\text{He} \rightarrow \alpha + 2\text{p}$	12.86			
CNO cycle		Reactions in the stars:		
$p + {}^{12}C \rightarrow {}^{13}N + \gamma$	1.94	n n CNO Carban burn		
$[^{13}N \rightarrow {}^{13}C + e^{+} + \nu + \gamma]$	2.22	p-p, CNO, Carbon burn,		
$p + {}^{13}C \rightarrow {}^{14}N + \gamma$	7.55			
$p + {}^{14}\text{N} \rightarrow {}^{15}\text{O} + \gamma$	7.29			
$[^{15}O \rightarrow ^{15}N + e^{+} + \nu + \gamma]$	2.76			
$p + {}^{15}N \rightarrow {}^{12}C + \alpha$	4.97			
Carbon burn		Reference:		
$\int_{0}^{23} Na + p$	2.24	S. Atzeni, J. Meyer-ter-Vehn (2004). "Nuclear fusion		
$^{12}C + ^{12}C \rightarrow \begin{cases} ^{23}Na + p \\ ^{20}Na + \alpha \\ ^{24}Mg + \gamma \end{cases}$	4.62	reactions". The Physics of Inertial Fusion. University of		
$^{24}$ Mg + $\gamma$	13.93	Oxford Press. ISBN 978-0-19-856264-1		

## **Fusion Cross-Sections and Reactivities**

Reaction	σ (10 keV) (barn)	σ (100 keV) (barn)	σ <sub>max</sub> (barn)	$\epsilon_{\text{max}}$ (keV)
$D+T \rightarrow \alpha + n$	$2.72 \times 10^{-2}$	3.43	5.0	64
$D + D \rightarrow T + p$	$2.81 \times 10^{-4}$	$3.3 \times 10^{-2}$	0.096	1250
$D + D \rightarrow {}^{3}He + n$	$2.78 \times 10^{-4}$	$3.7 \times 10^{-2}$	0.11	1750
$T + T \rightarrow \alpha + 2n$	$7.90 \times 10^{-4}$	$3.4 \times 10^{-2}$	0.16	1000
$D + {}^{3}He \rightarrow \alpha + p$	$2.2 \times 10^{-7}$	0.1	0.9	250
$p + {}^{6}Li \rightarrow \alpha + {}^{3}He$	$6 \times 10^{-10}$	$7 \times 10^{-3}$	0.22	1500
$p + {}^{11}B \rightarrow 3\alpha$	$(4.6 \times 10^{-17})$	$3 \times 10^{-4}$	1.2	550
$p + p \rightarrow D + e^+ + \nu$	$(3.6 \times 10^{-26})$	$(4.4 \times 10^{-25})$		
$p + {}^{12}C \rightarrow {}^{13}N + \gamma$	$(1.9 \times 10^{-26})$	$2.0 \times 10^{-10}$	$1.0\times10^{-4}$	400
<sup>12</sup> C + <sup>12</sup> C (all branches)		$(5.0 \times 10^{-103})$		

## **Energy of fusion reaction products**

$$A + B \rightarrow C + D$$

Momentum Conservation :  $m_A \vec{v}_A + m_B \vec{v}_B = m_C \vec{v}_C + m_D \vec{v}_D$ 

Energy ~ keV <<< Energy ~ MeV

To a very good approximation:  $m_C \vec{v}_C + m_D \vec{v}_D = 0$  or  $m_C \vec{v}_C = - m_D \vec{v}_D$ 

Thus also :  $|m_C \vec{v}_C| = |m_D \vec{v}_D|$  and therefore  $m_C^2 v_C^2 = m_D^2 v_D^2$ 

Ratio of energies of products C and D:

$$E_C = \frac{\frac{1}{2} m_C v_C^2}{\frac{1}{2} m_D v_D^2} = \frac{m_D}{m_C}$$

## Conclusion: Lightest particle get largest share in energy

## Examples:

D+T  $\rightarrow$  <sup>4</sup>He + n: neutron gets 80% of the energy

D+D → t + p or <sup>3</sup>He + n: proton or neutron gets 75% of the energy *J.Ongena Fusion Principles Varenna, Lago di Como, 25 July 2017*